

# City of Ballwin



## 2012 Budget Summary

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# BUDGET MESSAGE

Our proposed financial plan reflects a projected expenditure total of \$18,749,419, compared with \$17,668,313 for 2011. On the revenue side, we are projecting a total of \$19,447,699. This dramatic shift is not a result of a reversal in recent economic conditions; rather it primarily reflects application of a Federal Grant of \$1,080,000 for the reconstruction of Kehrs Mill Road, which will partially (80%) offset our one-time expenditure of \$1,351,500 for this project.

When you take Kehrs Mill out of the equation, total expenditures will actually be \$270,394 less than they were in 2011, while overall revenues are expected to come in at \$28,775 above 2011 levels.

Although we are not yet completely “out of the woods”, the future looks somewhat brighter than it has in the recent past. Continued cost cutting and greater efficiencies have enabled us to insure continuation of all current programs and services in 2012.

In 2011, we aggressively pursued numerous energy efficiency measures which will reduce our future operating costs. Obsolete mechanical units have been replaced, building lighting has been retrofitted, additional insulation is in place, and solar panels will be installed on the roof of the Government Center by year end. We continue to explore options to reduce our escalating street light bill, which is now approaching \$½ million a year.

Perhaps our greatest achievement of 2011 has been the Board’s commitment to reduce our debt obligation by prepaying the final four years of our 2002 Certificates of Participation (C.O.P.S.) issue for construction of the city’s aquatic center. As a result of this decision, the City will be completely debt free by the end of 2013. This will free up over \$1 million per year for other purposes, such as additional street improvements and repairs.

Looking forward, the Board and I will address the issue of formulating a long range plan for allocation of resources for the future of this community. As always, these meetings will be posted, advertised, and open to the public. We welcome and encourage your attendance and participation.

Sincerely,

Tim Pogue, Mayor

# MISSION STATEMENT

The City of Ballwin is a proud community with a history of delivering a wide range of top quality programs and services which are geared toward providing a safe and friendly environment for all of our residents, businesses, and visitors. The governing body and the entire city staff are committed to applying all revenue resources in the most cost efficient manner to maintain this high standard.

In the interest of achieving this goal, we further pledge to:

- Maintain an open dialogue with residents and businesses within our community to insure continued connectivity;
- Promote, encourage, and pursue all reasonable alternatives that would lead toward energy conservation and environmental sensitivity;
- Provide and encourage a wide variety of cultural and recreational opportunities for all age groups, to promote local identification and a sense of community pride and value;
- Enhance property values by continuing to aggressively enforce all maintenance codes and business regulations;
- Continue to maintain and improve all public infrastructure including streets and all city facilities;
- Ensure a safe and secure environment for all residents and businesses by maintaining a strong and positive police presence within our community;
- Treat all customers and constituents as we would expect to be treated in a fair, courteous and equitable manner. Through effective communication, we will constantly strive to say what we will do, then do what we say.

# 2012 CITY OF BALLWIN FACTS

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<b>Date of Incorporation:</b>	December 29, 1950
<b>Form of Government:</b>	Mayor / Board of Aldermen / City Administrator
<b>Population:</b>	30,404 (2010 Census)
<b>Miles of Streets:</b>	115 miles
<b>Police Dispatching Population:</b>	48,498 (including City of Manchester)
<b>Total Housing Units:</b>	12,451
<b>Number of Businesses:</b>	332
<b>Number of Employees: (Full-Time)</b>	Police – 51 (sworn)                  Public Works - 32 Police – General – 12              Administration - 19 Parks & Rec. - 28

(Updated: 11/22/11)

## **SERVICES OVERVIEW**

**POLICE:** Our full-service Police Department, located at 300 Park Drive (in Vlasik Park) operates 24 hours a day, seven days a week; 24 hour dispatch, investigation and patrol, Neighborhood Watch, Traffic/Speed Enforcement, Community Oriented Policing (COPS), D.A.R.E., and Are You O.K.?.  
636-227-9636  
FX: 636-207-2340  
police@ballwin.mo.us

**PUBLIC WORKS:** The Public Works Department operates out of a complex which is also located in Vlasik Park at 200 Park Drive. The regular work hours for the Public Works Department are 7:00 a.m. – 3:30 p.m. Monday – Friday. Snow plowing, street and sign maintenance, leaf collection, street sweeping, sidewalk repair, and limited storm water maintenance are provided as basic services.  
636-227-9000  
FX: 636-207-2333  
pw@ballwin.mo.us

**PARKS:** The Parks Department is headquartered at The Pointe At Ballwin Commons Community Center, located at #1 Ballwin Commons Circle. Festival coordination, programming, golf, tennis, swimming, horseshoes, fitness center, facility rentals, park maintenance, street tree trimming, and brush pickup.  
636-227-8950  
FX: 636-207-2330  
pointe@ballwin.mo.us

**ADMINISTRATION:** The Ballwin Government Center, located at 14811 Manchester Road (in Vlasik Park) is open to serve the public Monday – Friday from 8:00 a.m. – 5:00 p.m. Public relations, personnel, occupancy/building inspections and permits, code enforcement, planning and zoning, utility and contract coordination, licensing, revenue collection, court fine payments, and record keeping are functions performed at this location.  
636-227-8580  
FX: 636-207-2320  
administration@ballwin.mo.us

**2012 HOLIDAYS:** Jan. 2 – New Years observed                  July 4 – Independence Day  
Jan. 16 – Martin Luther King, Jr. Day        Sept. 3 – Labor Day  
Feb. 20 – President’s Day                      Nov. 22 & 23 - Thanksgiving  
May 28 – Memorial Day                      Dec. 24 & 25 Christmas Eve & Christmas  
*Offices will be closed and all official meetings will be cancelled or rescheduled on these dates.*

# GOVERNING INFORMATION

The Ballwin Board of Aldermen meet at 7:00 p.m. on the second and fourth Mondays of each month in the Board Room of the Donald “Red” Loehr Police & Court Center, which is located in Vlasik Park off Holloway Road.

The Board of Aldermen is a legislative group which is comprised of eight members, two from each of our four wards. The Mayor is elected at large. All serve two-year terms which are staggered. The Mayor serves as ceremonial head of the City and presiding officer at all Board Meetings.

All meetings of the Ballwin Board of Aldermen are open to the public and the building is handicapped accessible. Attendance and participation is invited and encouraged. All agendas are posted on the website at last 48 hours in advance of all scheduled meetings.

www.ballwin.mo.us      636-227-8580      Fax: 636-207-2320      Voice Mail: 636-207-2386 + VM #

NAME	CONTACT INFORMATION	WARD	TERM
<b>Mayor Tim Pogue</b> 418 Bush Drive Ballwin, MO 63021	Home: 636-391-3591 Gov. Ctr: 636-207-2386 x-3320 tpogue@ballwin.mo.us	At Large	April, 2013
<b>Alderman Jimmy Terbrock</b> 219 Ramsey Lane Ballwin, MO 63021	Gov. Ctr.: 636-207-2386 x-3330 jterbrock@ballwin.mo.us	1	April, 2013
<b>Alderman Michael Finley</b> 50 Roland Avenue Ballwin, MO 63021	Home: 314-283-3007 Gov. Ctr.: 636-207-2386 x-3310 mfinley@ballwin.mo.us	1	April, 2012
<b>Alderman Mark Harder</b> 542 Lering Ct. Ballwin, MO 63011	Gov. Ctr.: 636-207-2386 x-3350 mharder@ballwin.mo.us	2	April, 2013
<b>Alderman Shamed Dogan</b> 212 Oakwood Farms Ct. Ballwin, MO 63021	Home: 314-306-5537 Gov. Ctr.: 636-207-2386 x-3340 sdogan@ballwin.mo.us	2	April, 2012
<b>Alderman Frank Fleming</b> 619 Spring Meadows Dr. Ballwin, MO 63011	Home: 636-230-8839 Gov. Ctr.: 636-207-2386 x-3370 ffleming@ballwin.mo.us	3	April, 2012
<b>Alderman Jim Leahy</b> 308 Wildforest Dr. Ballwin, MO 63011	Home: 636-391-0701 Gov. Ctr.: 636-207-2386 x-3360 jleahy@ballwin.mo.us	3	April, 2013
<b>Alderman Richard Boerner</b> 726 Oak Meadows Ct. Ballwin, MO 63021	Gov. Ctr.: 636-207-2386 x-3380 rboerner@ballwin.mo.us	4	April, 2012
<b>Alderman Kathy Kerlagon</b> 1146 Westrun Dr. Ballwin, MO 63021	Home: 636-391-6794 Gov. Ctr.: 636-207-2386 x-3390 kkerlagon@ballwin.mo.us	4	April, 2012

**City Attorney:** Robert E. Jones      314-725-8788  
**Staff Contact:** Robert A. Kuntz, City Administrator 636-227-8580  
**Recording Secretary:** Marie Clark, City Clerk 636-227-8580

After-hour emergencies may be reported by calling (636) 527-9200. For police, fire, or ambulance emergencies, dial 911. For other information about our various programs and services, dial (636) 207-2306 any time, day or night, or visit our website at [www.ballwin.mo.us](http://www.ballwin.mo.us).

Residents of Ballwin are afforded an equal opportunity to participate in the programs and services of the City of Ballwin regardless of race, color, religion, sex, age, disability, familial status, national origin or political affiliation. If you require an access accommodation, please call (636) 227-8580 V or (636) 227-5200 TDD or 1-800-735-2466 (Relay Missouri) no later than 5:00 p.m. on the third business day preceding the hearing.

## STAFF

<b>ADMINISTRATION (636) 227-8580 FAX: (636) 207-2320</b> <b><u><a href="mailto:administrator@ballwin.mo.us">administrator@ballwin.mo.us</a></u></b>	
Robert A. Kuntz Thomas H. Aiken Glenda Loehr Jerry Klein Marie Clark Haley Morrison Paula Reeds Chris Northcutt	- City Administrator - Assistant City Administrator/City Planner - Finance Officer - Code Enforcement Supervisor <a href="mailto:code@ballwin.mo.us">code@ballwin.mo.us</a> - Administrative Assistant / City Clerk - Human Resource Coordinator <a href="mailto:hr@ballwin.mo.us">hr@ballwin.mo.us</a> - Information Systems Manager - Court Clerk
<b>PUBLIC WORKS (636) 227-9000 FAX: (636)207-2333</b> <b><u><a href="mailto:pw@ballwin.mo.us">pw@ballwin.mo.us</a></u></b>	
Gary R. Kramer Jim Link	- City Engineer/Director of Public Works - Superintendent of Streets
<b>PARKS AND RECREATION (636) 227-8950 FAX: 636-207-2330</b> <b>The Pointe At Ballwin Commons: <u><a href="mailto:pointe@ballwin.mo.us">pointe@ballwin.mo.us</a></u></b>	
Linda Bruer Hedy Boone John Hoffman John Hawkins Dave Furlong Sara Jane Davis	- Director of Parks and Recreation - Deputy Director of Recreation - Deputy Director of Parks - Golf Course Superintendent - Golf Pro (636) 227-1750 <a href="mailto:golf@ballwin.mo.us">golf@ballwin.mo.us</a> - Clubhouse Manager <a href="mailto:golf@ballwin.mo.us">golf@ballwin.mo.us</a>
<b>POLICE DEPARTMENT (636) 227-9636 FAX: (636) 207-2340</b> <b><u><a href="mailto:Police@ballwin.mo.us">Police@ballwin.mo.us</a></u></b>	
Steve Schicker George Boswell Kevin Bushery Diana Keller	- Chief of Police - Captain of Administration / Management - Lieutenant of Criminal Investigations - Supervisor of Communications / Records

## **MUNICIPAL COURT**

The Ballwin Municipal Court conducts two sessions each month. These are held on the second and fourth Tuesdays at 5:30 p.m. at the Donald “Red” Loehr Police & Court Center at 300 Park Drive. The Court offices are headquartered at the Government Center, which is located at 14811 Manchester Road. All payments and inquiries should be directed to this location.

Municipal Judge: Virginia Nye                      Prosecuting Attorney: Chris Graville  
Provisional Judge: Donald Anderson              Court Clerk: Chris Northcutt              (636) 227-9468

## **HISTORICAL COMMISSION**

Regular meetings of the Commission are held at 2:30 p.m. the second Tuesday of every month at the Schoolhouse at 308 Jefferson Ave.. All meetings are open to the public.

The Commission’s primary function is to promote, educate, and illustrate the history of Ballwin; its exploration, settlement, development and activities.

Chairman:              Mary Ellen Heldman  
Staff Contact:        Linda Bruer              (636) 227-2743

## **BALLWIN DAYS COMMITTEE**

The Ballwin Days Committee meets monthly at the Ballwin Golf Club, located at 333 Holloway Road.

This committee is responsible for the preparation and coordination of the annual “Ballwin Days” festival which is held the first weekend in June.

Co-Chairman:        Darryl Holman              (636) 207-2388, Ext. 2  
Co-Chairman:        Jim Lieber                  (636) 207-2388, Ext. 3  
Staff Contact:        Linda Bruer                  (636) 227-2743  
[www.ballwin.mo.us/ballwindays](http://www.ballwin.mo.us/ballwindays)

## **PLANNING & ZONING COMMISSION**

Regular meetings of this commission are held at 7:00 p.m. in the Board Room at the Donald “Red” Loehr Police & Court Center on the first Monday of each month. All meetings are open to the public.

This 11-member group is responsible for the review of all proposals for zoning ordinance changes, Special Use Exceptions, subdivisions, site plan reviews and comprehensive planning. A public hearing is held and recommendations on such matters (findings) are then passed on to the Board of Aldermen.

Chairman:              Mike Wind  
Staff Contact:        Thomas Aiken, City Planner  
Recording Secretary: Linda Belcher              (636) 227-8580

## **BOARD OF ADJUSTMENT**

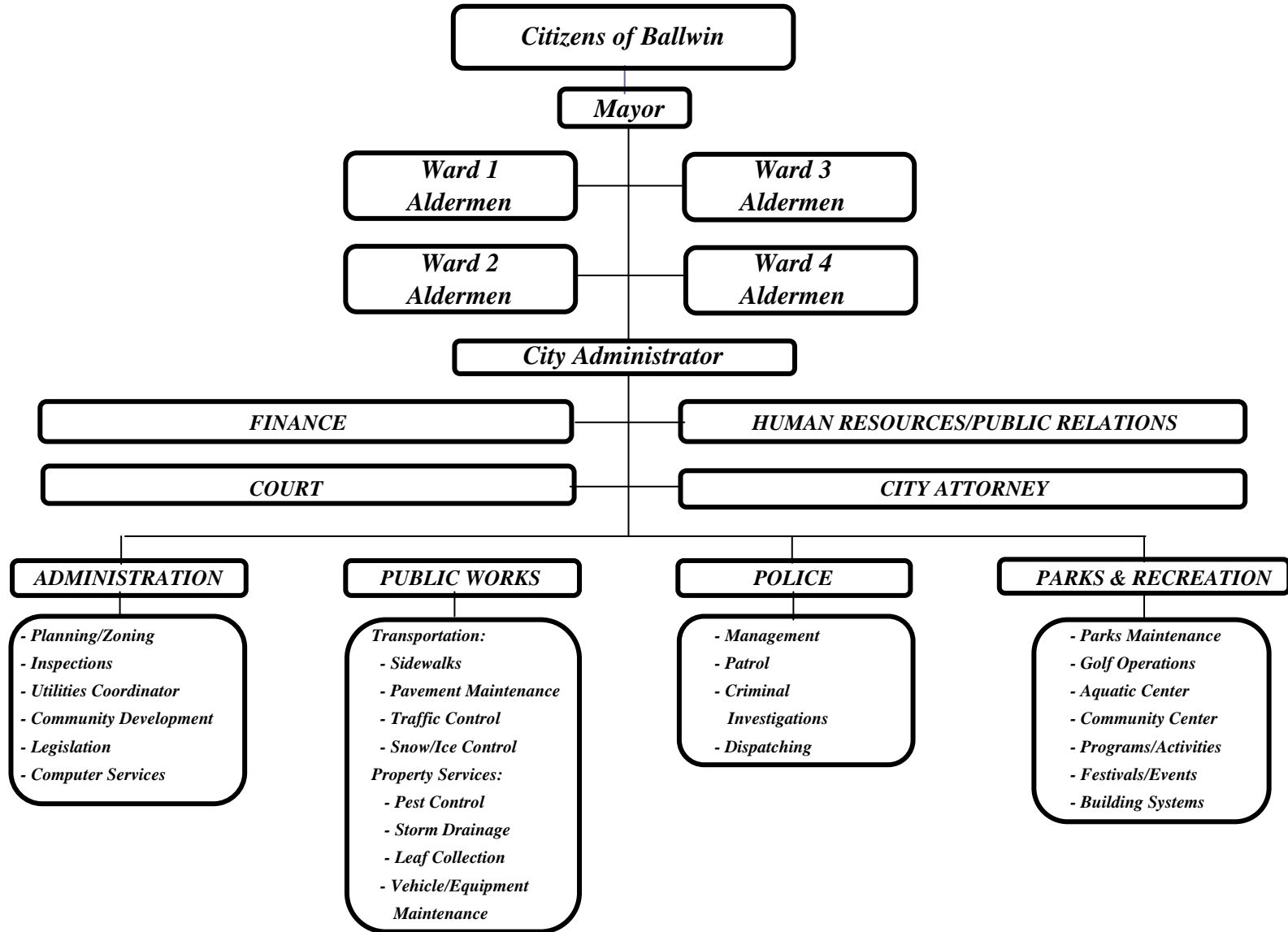
Meetings of the Board of Adjustment are scheduled for 7:00 p.m. on the third Wednesday of each month, subject to petitions, and are held in the Board Room at the Donald “Red” Loehr Police & Court Center.

This 5-member Board is charged with the responsibility of reviewing appeals from private citizens regarding the enforcement of the Zoning Ordinance, Housing Code and Building Code as they apply to unique circumstances of individual properties.

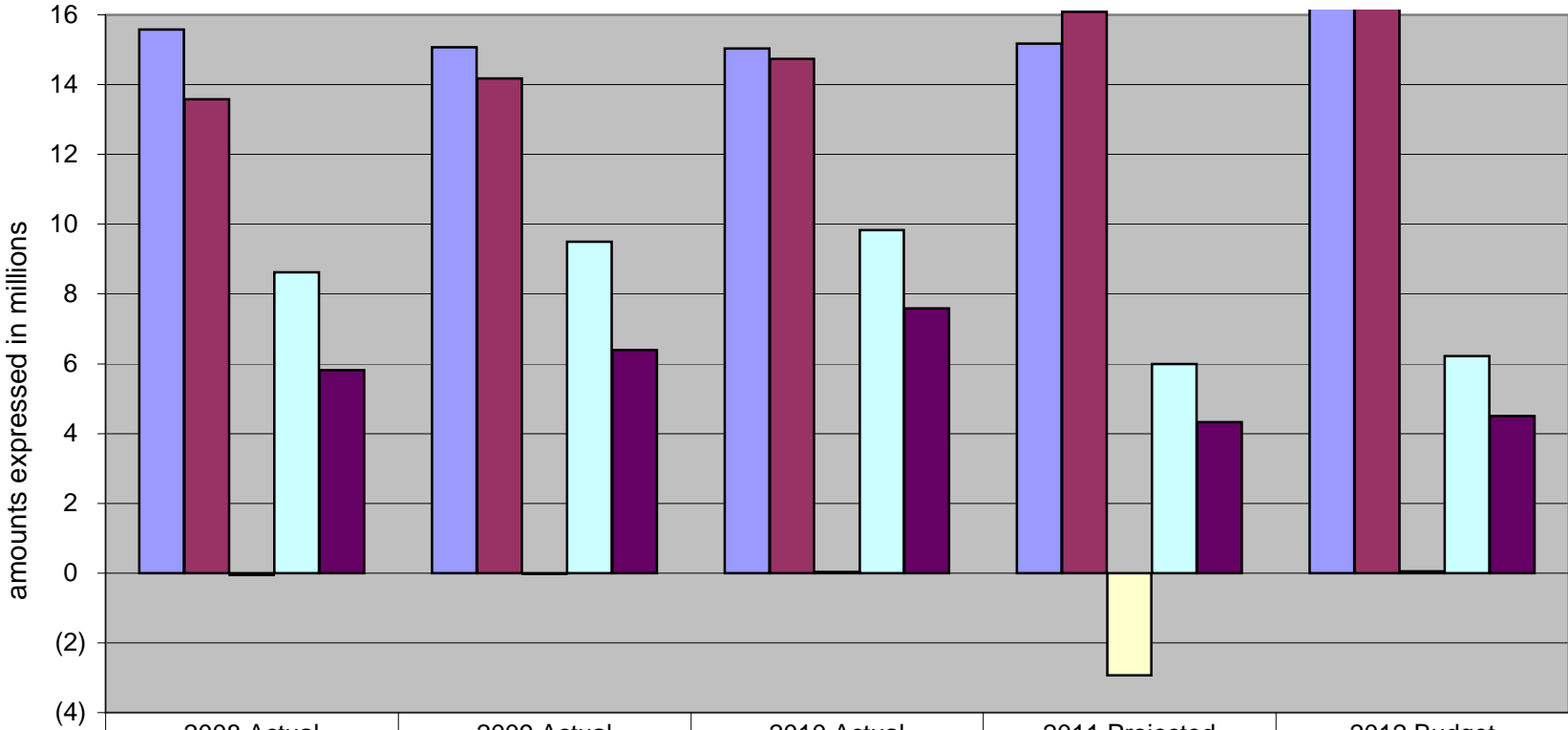
Chairman:              Lori Willis  
Staff Contact:        Jerry Klein, Code Enforcement Supervisor  
Recording Secretary: Cindy Powell              (636) 227-8580



# CITY OF BALLWIN 2012 ORGANIZATIONAL STRUCTURE



# Operating Fund 2008-2012



	2008 Actual	2009 Actual	2010 Actual	2011 Projected	2012 Budget
■ Revenues	15,574,660	15,077,344	15,040,093	15,169,495	17,482,199
■ Expenses	13,581,537	14,183,331	14,739,857	16,089,623	17,290,115
■ Transfers	(58,704)	(26,591)	33,874	(2,924,171)	48,130
■ Fund Balance	8,627,476	9,494,898	9,829,008	5,984,709	6,224,923
■ Cash Reserves	5,807,533	6,396,500	7,590,990	4,324,000	4,500,000

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## Combined Statement of Budgeted Revenues and Expenditures - Operating Fund

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	2010 Actual	2011 Projected	2012 Budget
<b>Fund Balance January 1</b>	\$9,887,813	\$9,829,008	\$5,984,709
<b>Revenues</b>	\$15,040,093	\$15,169,495	\$17,482,199
<b>Expenditures</b>			
Administration:	(\$2,566,631)	(\$2,988,985)	(\$3,197,743)
Parks:	(\$3,635,054)	(\$3,886,463)	(\$4,290,803)
Police:	(\$4,835,155)	(\$5,020,287)	(\$5,264,318)
Public Works:	(\$3,703,016)	(\$4,193,888)	(\$4,537,251)
<b>TOTAL:</b>	(\$14,739,856)	(\$16,089,623)	(\$17,290,115)
<b>Transfer (To)/From</b>			
<b>Capital Fund</b>	\$33,642	(\$210,677)	
<b>Debt Service Fund</b>		(\$2,716,063)	
<b>Other Reserve Funds</b>	\$232	\$2,569	\$48,130
	(Inmate Security, Art and Hist Soc Escrs)	(Inmate Security, Art and Hist Soc Escrs)	*
<b>Fund Balance December 31</b>	<b>\$10,221,924</b>	<b>\$5,984,709</b>	<b>\$6,224,923</b>
<b>Less Reserves for</b>			
Prepaid Expenses	(\$381,419)		
Inventory	(\$11,497)		
<b>Unreserved Fund Balance December 31</b>	<b>\$9,829,008</b>	<b>\$5,984,709</b>	<b>\$6,224,923</b>

Anticipated Unreserved Fund Balance 12/31/11: 37.20% of 2011 Projected

Anticipated Unreserved Fund Balance 12/31/12: 36.00% of 2012 Projected

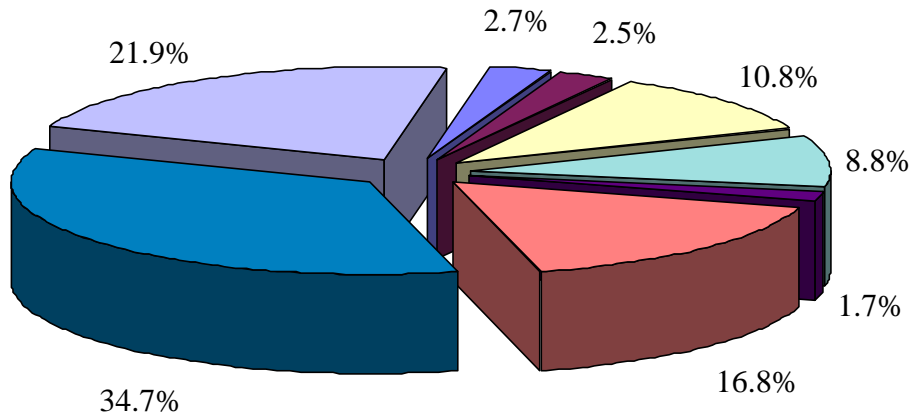
\* Includes Road Improvement, Sidewalk, Inmate Security, Historical Society and Art Commission Escrows

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## Operating Budget Anticipated Revenues

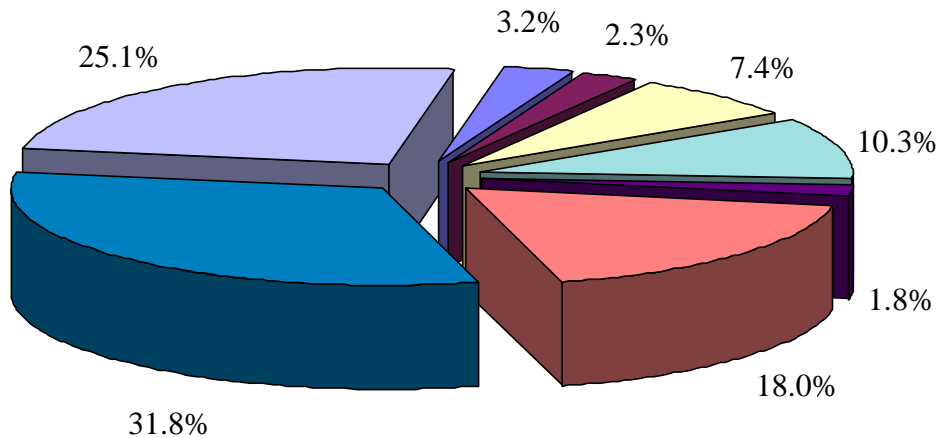
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**2012**



- |  |   |
|--|---|
| <span style="color: blue;">■</span> Franchise Fees               | <span style="color: purple;">■</span> Interest Income/Misc.     |
| <span style="color: yellow;">■</span> Intergovernmental Revenues | <span style="color: cyan;">■</span> Licenses, Permits and Fines |
| <span style="color: purple;">■</span> Police Services/Grants     | <span style="color: red;">■</span> Recreation Fees              |
| <span style="color: blue;">■</span> Sales Tax Revenues           | <span style="color: lightblue;">■</span> Utility Gross Receipts |

**2011**



## Revenue Operating Budget 2012

Operating Revenues	2010 Total Revenue Actual	2011 Total Revenue Projected	2012 Total Revenue Projected
<b>Sales Tax Revenues</b>			
CAPITAL SALES TAX	\$0	\$0	\$500,000
SALES TAX	\$4,131,588	\$4,207,000	\$4,300,000
TIF 2A SALES TAX	\$261,110	\$253,315	\$260,000
PARKS SALES TAX	\$355,902	\$366,000	\$1,000,000
Total	\$4,748,600	\$4,826,315	\$6,060,000
<b>Utility Gross Receipts</b>			
GAS GROSS RECEIPTS	\$858,457	\$852,000	\$850,000
WATER GROSS RECEIPTS	\$271,892	\$299,323	\$280,000
TELEPHONE GROSS RECEIPTS	\$1,226,166	\$1,180,000	\$1,175,000
ELECTRIC GROSS RECEIPTS	\$1,305,184	\$1,410,000	\$1,460,000
PROTEST TELEPHONE GROSS RCPTS	\$217,982	\$47,487	\$47,000
TIF UTILITY GROSS RCPTS-GAS	\$3,948	\$4,325	\$4,300
TIF UTILITY GROSS RCPTS-WATER	\$1,153	\$1,262	\$1,150
TIF UTILITY GROSS RCPTS-PHONE	\$3,187	\$3,480	\$3,400
TIF UTILITY GROSS RCPTS-ELECTRIC	\$13,856	\$15,228	\$16,000
Total	\$3,901,824	\$3,813,105	\$3,836,850
<b>Franchise Fees</b>			
CABLE TV FRANCHISE FEES	\$380,707	\$401,500	\$400,000
TOWER FRANCHISE FEES	\$76,576	\$80,328	\$80,000
Total	\$457,283	\$481,828	\$480,000
<b>Licenses, Permits and Fines</b>			
BUSINESS LICENSES	\$448,815	\$502,000	\$550,000
CONTRACTORS LICENSES	\$34,130	\$29,350	\$30,000
LIQUOR LICENSES	\$15,757	\$16,432	\$17,000
PETITION FEES	\$29,750	\$16,315	\$15,000
ELECTRICAL TEST	\$0	\$25	\$125
HOUSING INSPECTIONS	\$50,050	\$42,000	\$43,000
APARTMENT INSPECTIONS	\$21,120	\$19,000	\$19,000
COMMERCIAL INSPECTIONS	\$3,660	\$3,000	\$3,000
OCCUPANCY PERMITS	\$335	\$375	\$375
BUILDING PERMITS	\$73,632	\$110,000	\$70,000
CONTRACTED BUILDING PERMITS	\$1,250	\$825	\$100
MECHANICAL PERMITS	\$28,180	\$28,000	\$20,000
ELECTRICAL PERMITS	\$33,293	\$39,000	\$35,000
DEMOLITION PERMITS	\$1,150	\$600	\$500
PLUMBING PERMITS	\$19,610	\$20,000	\$15,000
SIGN PERMITS	\$9,198	\$6,500	\$6,500
FENCE PERMITS	\$3,675	\$2,625	\$3,000
REINSPECTION FEES	\$275	\$75	\$75
COURT FINES	\$616,623	\$700,000	\$700,000

<b>Operating Revenues</b>	<b>2010 Total Revenue Actual</b>	<b>2011 Total Revenue Projected</b>	<b>2012 Total Revenue Projected</b>
EXCAVATION PERMITS - OTHERS	\$6,450	\$4,250	\$4,000
EXCAVATION PERMITS - UTILITIES	\$5,400	\$2,200	\$2,000
SITE/GRADING PERMITS/FEES	\$16,706	\$17,523	\$11,000
SOLICITATION PERMITS	\$1,380	\$4,355	\$1,000
MISCELLANEOUS PERMITS	\$1,250	\$950	\$1,200
<b>Total</b>	<b>\$1,421,688</b>	<b>\$1,565,400</b>	<b>\$1,546,875</b>
<b>Interest Income</b>			
BANK ACCOUNT INTEREST	\$3,652	\$2,007	\$144
INVESTMENTS INCOME	\$107,775	\$75,000	\$22,800
REALIZED & UNREALIZED GAINS	(\$26,675)	\$0	\$0
<b>Total</b>	<b>\$84,751</b>	<b>\$77,007</b>	<b>\$22,944</b>
<b>Miscellaneous</b>			
MISCELLANEOUS GRANTS	\$0	\$116,572	\$264,170
INSURANCE/DAMAGE REIMB	\$30,529	\$344	\$500
RENT INCOME	\$19,525	\$13,300	\$13,300
SALE OF SURPLUS PROPERTY	\$2,968	\$207	\$100
HISTORY BOOK SALES/ROYALTIES	\$224	\$61	\$65
SALE OF CAPITAL ASSETS	\$46,656	\$26,795	\$34,000
NSF CK/ADMIN SERVICE CHARGES	\$1,913	\$1,500	\$1,500
NOTARY SERVICES	\$86	\$60	\$50
PREVIOUS YEARS COLLECTIONS	\$2,521	\$12,985	\$1,000
CURRENT YEAR WRITE-OFFS	\$0	\$0	\$0
IT SERVICES	\$218	\$233	\$200
MISCELLANEOUS	\$17,124	\$12,500	\$10,000
ZONING MAPS/BOOKS	\$10	\$25	\$25
SEWER LATERAL ADMIN FEES	\$32,501	\$32,368	\$33,385
ADMIN SERVICE CHARGES	\$10,250	\$5,900	\$7,500
MISCELLANEOUS GRANTS	\$0	\$0	\$8,000
INSURANCE/DAMAGE REIMB	\$1,155	\$4,887	\$1,000
PW MISCELLANEOUS	\$0	\$1,741	\$100
LEASE/LOAN PROCEEDS	\$118,744	\$0	\$0
SODA MACHINE REVENUE	\$3,054	\$2,700	\$2,700
REINKE RD REIMBURSEMENT	\$0	\$0	\$36,615
DONATIONS	\$2,500	\$0	\$3,100
TREE DONATIONS	\$650	\$615	\$350
INSURANCE/DAMAGE REIMB	\$3,050	\$43,898	\$1,000
<b>Total</b>	<b>\$293,678</b>	<b>\$276,691</b>	<b>\$418,660</b>
<b>Police Services</b>			
MISCELLANEOUS GRANTS	\$19,028	\$27,000	\$27,830
INSURANCE/DAMAGE REIMB	\$14,220	\$2,053	\$4,000
BOND PROCESSING FEES	\$1,970	\$1,700	\$1,800
NOTARY SERVICE	\$40	\$14	\$10
MISCELLANEOUS	\$0	\$0	\$0

<b>Operating Revenues</b>	<b>2010 Total Revenue Actual</b>	<b>2011 Total Revenue Projected</b>	<b>2012 Total Revenue Projected</b>
FALSE ALARM FINES	\$4,475	\$4,350	\$5,000
POLICE REPORTS	\$3,322	\$3,700	\$3,500
POLICE RECORD CHECKS	\$1,479	\$1,800	\$2,000
POLICE TRAINING FEE	\$12,956	\$13,000	\$14,000
FINGERPRINTING	\$2,220	\$2,200	\$2,300
INMATE SECURITY FUNDS	\$12,932	\$12,200	\$14,000
CHARITY FUNDRAISER	\$6,666	\$6,013	\$7,000
COMMUNICATIONS - MANCHESTER	\$136,590	\$143,759	\$144,690
POLICE SERVICES - ROCKWOOD	\$44,795	\$45,500	\$47,000
MISCELLANEOUS O/T REIMBURSEMENT	\$0	\$0	\$0
OCDETF/FBI OT REIMB	\$15,258	\$15,900	\$15,500
<b>Total</b>	<b>\$275,949</b>	<b>\$279,189</b>	<b>\$288,630</b>
<b>Aquatic Center Revenues</b>			
RESIDENT ADULT DAILY FEES	\$26,689	\$20,367	\$20,000
RESIDENT CHILD DAILY FEES	\$18,776	\$23,220	\$19,000
NON-RES DAILY FEES	\$203,025	\$200,223	\$175,000
RESIDENT INDV POOL PASS	\$3,534	\$2,909	\$3,500
RESIDENT SINGLE+ONE POOL PASS	\$1,646	\$3,342	\$1,800
NON-RES SINGLE+ONE POOL PASS	\$249	\$525	\$300
NON-RES INDV POOL PASS	\$2,123	\$2,384	\$2,100
RESIDENT SNR COUPLE POOL PASS	\$0	\$463	\$200
NON-RES SNR COUPLE POOL PASS	\$0	\$190	\$100
RESIDENT FAMILY POOL PASS	\$53,879	\$57,468	\$56,000
NON-RES FAMILY POOL PASS	\$18,124	\$23,405	\$22,000
POINTE PLUS RES YOUTH PASS	\$408	\$228	\$450
POINTE PLUS N/R YOUTH PASS	\$0	\$181	\$150
POINTE PLUS RES ADULT PASS	\$1,101	\$1,173	\$900
POINTE PLUS N/R ADULT PASS	\$587	\$572	\$800
POINTE PLUS RES SENIOR PASS	\$342	\$228	\$450
POINTE PLUS N/R SENIOR PASS	\$284	\$289	\$200
POINTE PLUS RES SNR CPLE PASS	\$1,861	\$1,713	\$1,500
POINTE PLUS N/R SNR CPLE PASS	\$812	\$1,069	\$1,000
POINTE PLUS RES SINGLE+1 PASS	\$1,740	\$720	\$1,000
POINTE PLUS N/R SINGLE+1 PASS	\$1,120	\$1,600	\$1,200
POINTE PLUS RES FAMILY PASS	\$29,235	\$29,489	\$28,000
POINTE PLUS N/R FAMILY PASS	\$13,767	\$14,496	\$14,000
POINTE PLUS BUSINESS PASS	\$841	\$1,201	\$1,000
JUNIOR GOLF/SWIM PASS - RES	\$850	\$300	\$300
JUNIOR GOLF/SWIM PASS - N/R	\$900	\$1,200	\$1,200
WILDWOOD SWIM PASSES	\$10,422	\$6,525	\$6,525
SWIM LESSONS	\$4,933	\$6,153	\$5,500
AQUA FITNESS	\$1,279	\$1,266	\$1,400
POOL PROGRAMS	\$7,990	\$5,695	\$9,000
SWIM TEAM	\$15,888	\$13,646	\$16,000

<b>Operating Revenues</b>	<b>2010 Total Revenue Actual</b>	<b>2011 Total Revenue Projected</b>	<b>2012 Total Revenue Projected</b>
CONCESSIONS	\$134,824	\$134,385	\$135,000
RENTAL FEES	\$5,655	\$2,765	\$3,500
PARTY RENTAL FEES	\$11,466	\$12,975	\$11,000
ITEMS FOR RESALE	\$142	\$248	\$150
RECEIPTS OVERAGES/(SHORTAGES)	(\$9)	(\$37)	\$0
<b>Total</b>	<b>\$574,481</b>	<b>\$572,576</b>	<b>\$540,225</b>
<b>Golf Course Revenues</b>			
GOLF SHOP RENTAL	\$5,775	\$6,300	\$6,300
RESIDENT GREENS FEES	\$133,938	\$124,000	\$135,000
NON-RESIDENT GREENS FEES	\$283,949	\$267,000	\$310,000
TOURNAMENT GREENS FEES	\$11,976	\$12,500	\$12,500
PERMANENT TEE TIMES	\$2,659	\$1,739	\$2,000
GOLF CARTS	\$118,238	\$111,204	\$120,000
PULL CARTS	\$5,085	\$4,674	\$5,000
GOLF CARTS - TOURNAMENTS	\$6,390	\$6,900	\$6,500
JUNIOR GOLF/SWIM PASS - RES	\$1,400	\$1,050	\$1,500
JUNIOR GOLF/SWIM PASS - N/R	\$1,575	\$2,100	\$2,000
GOLF PROGRAMS	\$2,981	\$3,000	\$3,000
GOLF COURSE ADVERTISING	\$0	\$2,610	\$2,500
<b>Total</b>	<b>\$573,965</b>	<b>\$543,077</b>	<b>\$606,300</b>
<b>Pro Shop Revenues</b>			
SODA FOUNTAIN SALES	\$12,761	\$11,500	\$12,000
ON COURSE SODA MACHINE SALES	\$3,126	\$2,000	\$2,000
BEER SALES	\$25,263	\$24,400	\$27,000
DELI SALES	\$6,645	\$5,450	\$7,000
SNACK SALES	\$3,033	\$2,600	\$3,000
FOOD/BEVERAGES - TOURNAMENT	\$839	\$650	\$1,000
MISC TOURNAMENT REVENUES	\$0	\$240	\$0
RECEIPTS OVERAGE/(SHORTAGES)	(\$1)	\$1	\$5
<b>Total</b>	<b>\$51,665</b>	<b>\$46,841</b>	<b>\$52,005</b>
<b>Golf Club Revenues</b>			
BEVERAGE SALES	\$30,129	\$30,000	\$32,000
CLUB RENTAL FEES	\$17,190	\$25,000	\$27,000
PREFERRED CATERING FEES	\$589	\$1,450	\$1,200
GOLF CLUB MISC REVENUE	\$468	\$900	\$900
<b>Total</b>	<b>\$48,375</b>	<b>\$57,350</b>	<b>\$61,100</b>
<b>Community Center Revenues</b>			
SPONSORSHIPS	\$1,700	\$3,800	\$4,000
LEASE/LOAN PROCEEDS	\$0	\$0	\$128,000
RESIDENT DAILY FEES	\$29,020	\$28,000	\$30,000
N/RESIDENT DAILY FEES	\$73,215	\$77,000	\$77,000
PUNCH CARD - RESIDENT	\$28,433	\$26,000	\$27,000
PUNCH CARD - NON-RESIDENT	\$31,968	\$29,000	\$31,000



<b>Operating Revenues</b>	<b>2010 Total Revenue Actual</b>	<b>2011 Total Revenue Projected</b>	<b>2012 Total Revenue Projected</b>
RESIDENT PASSES	\$430,434	\$430,000	\$430,000
NON-RESIDENT PASSES	\$169,497	\$170,000	\$170,000
POINTE PLUS RES YOUTH PASS	\$857	\$500	\$500
POINTE PLUS N/R YOUTH PASS	\$293	\$500	\$500
POINTE PLUS RES ADULT PASS	\$2,212	\$2,300	\$2,300
POINTE PLUS N/R ADULT PASS	\$1,395	\$1,750	\$1,750
POINTE PLUS RES SNR PASS	\$798	\$850	\$850
POINTE PLUS N/R SNR PASS	\$662	\$500	\$500
POINTE PLUS RES SNR CPLE PASS	\$4,309	\$5,000	\$5,000
POINTE PLUS N/R SNR CPLE PASS	\$1,895	\$2,195	\$3,000
POINTE PLUS RES SINGLE+1 PASS	\$4,868	\$2,500	\$2,500
POINTE PLUS N/R SINGLE+1 PASS	\$2,739	\$2,500	\$2,500
POINTE PLUS RES FAMILY PASS	\$70,687	\$70,000	\$75,000
POINTE PLUS N/R FAMILY PASS	\$34,392	\$39,000	\$40,000
POINTE PLUS BUSINESS PASS	\$1,672	\$2,500	\$2,500
CORPORATE MEMBERSHIPS	\$4,223	\$5,000	\$5,500
SWIM LESSONS	\$43,386	\$46,000	\$50,000
LIFEGUARD CERT FEES	\$5,740	\$7,000	\$7,500
AQUA FITNESS	\$44,650	\$45,000	\$45,000
INDOOR POOL SPECIAL PROGRAMS	\$1,076	\$650	\$700
PROGRAM FEES	\$62,728	\$50,000	\$60,000
SENIOR PROGRAM FEES	\$4,874	\$2,800	\$3,530
N/R PROGRAM FEES	\$46,951	\$50,000	\$58,000
BABYSITTING	\$12,359	\$13,000	\$13,000
SUMMER CAMP FEES	\$90,596	\$106,896	\$108,260
N/R SUMMER CAMP FEES	\$45,819	\$50,445	\$53,325
PERSONAL TRAINER	\$35,229	\$33,000	\$35,000
SPINNING CLASS FEES	\$20,733	\$17,500	\$18,000
SODA MACHINE REVENUE	\$10,745	\$12,500	\$12,000
VENDING MACHINES REVENUE	\$1,996	\$2,000	\$2,000
RENTAL FEES	\$38,209	\$40,000	\$40,000
RESIDENT BIRTHDAY PARTIES	\$9,203	\$8,500	\$10,000
N/RES BIRTHDAY PARTIES	\$7,765	\$8,700	\$11,000
LOCK-INS	\$8,932	\$7,000	\$9,000
ID FEES	\$12,633	\$15,000	\$16,000
ITEMS FOR RESALE	\$20	\$50	\$100
RECEIPTS OVERAGES/(SHORTAGES)	(\$16)	(\$87)	\$10
MISCELLANEOUS	\$20	\$10	\$10
<b>Total</b>	<b>\$1,398,915</b>	<b>\$1,414,859</b>	<b>\$1,591,835</b>
<b>Recreation Revenues</b>			
MISCELLANEOUS GRANTS	\$8,160	\$6,394	\$4,000
PAVILION RENTALS	\$12,915	\$12,155	\$12,500
BALLFIELD RENTALS	\$1,210	\$1,200	\$1,200
TENNIS COURT RESERVATION FEES	\$510	\$150	\$150

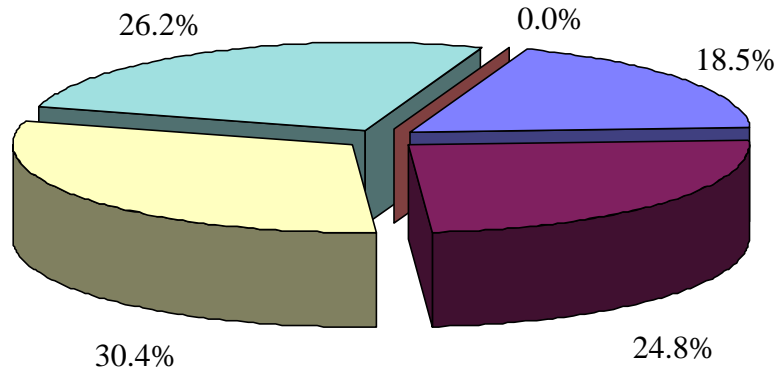
<b>Operating Revenues</b>	<b>2010 Total Revenue Actual</b>	<b>2011 Total Revenue Projected</b>	<b>2012 Total Revenue Projected</b>
BANDWAGON RENTALS	\$4,000	\$3,025	\$2,625
ART COMMISSION FUNDS	\$0	\$200	\$100
HISTORICAL SOCIETY FUNDS	\$5,353	\$3,200	\$3,200
BALLWIN DAYS	\$53,342	\$63,468	\$65,000
Total	\$85,489	\$89,792	\$88,775
<b>Intergovernmental Revenues</b>			
SALES TAX - VEHICLES	\$176,973	\$186,000	\$185,000
CIGARETTE TAX	\$89,438	\$87,717	\$86,000
MOTOR FUEL TAX			
MOTOR VEHICLE FEES	\$136,513	\$137,000	\$138,000
COUNTY ROAD TAX	\$614,350	\$614,748	\$614,000
MOTOR FUEL TAX	\$106,155	\$100,000	\$865,000
Total	\$1,123,429	\$1,125,465	\$1,888,000
<b>Total Operating Revenues</b>	<b>\$15,040,093</b>	<b>\$15,169,495</b>	<b>\$17,482,199</b>
INTER/INTRA FUND TRANSFERS	\$225,300	\$0	\$50,396
<b>Total Revenues and Transfers</b>	<b>\$15,265,393</b>	<b>\$15,169,495</b>	<b>\$17,532,595</b>

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## Operating Budget Spending Plan

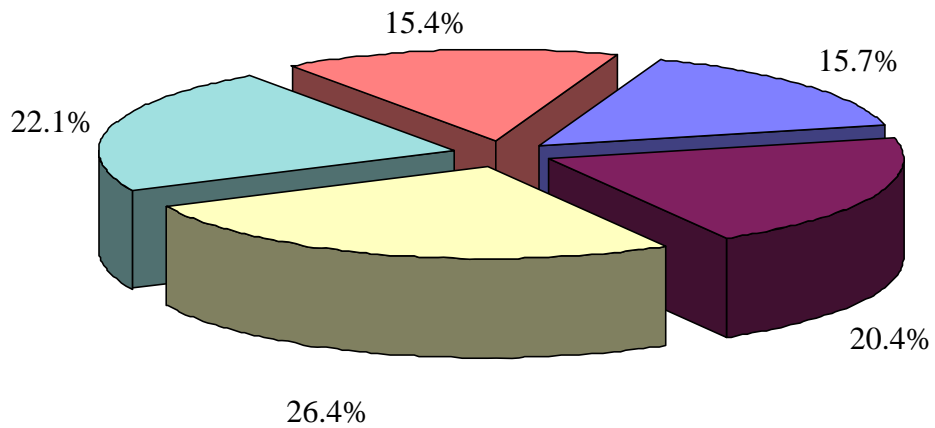
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**2012**



- Administration
- Police
- Transfers Out
- Parks & Recreation
- Public Works

**2011**



## Expenditure Operating Budget 2012

Operating Expenditures	2010 Total Expenditure Actual	2011 Total Expenditure Projected	2012 Total Expenditure Projected
<b>PERSONNEL COSTS</b>			
SALARIES	\$7,727,320	\$7,903,159	\$8,195,936
BENEFITS	\$2,334,255	\$2,513,177	\$2,692,436
<b>Personnel Costs</b>	<b>\$10,061,575</b>	<b>\$10,416,336</b>	<b>\$10,888,372</b>
<b>OPERATING COSTS</b>			
TRAVEL & TRAINING	\$25,959	\$31,285	\$43,070
UTILITIES	\$384,031	\$395,447	\$402,000
COMMUNICATIONS	\$78,033	\$76,356	\$72,711
EQUIPMENT RENTALS	\$6,874	\$12,322	\$12,390
EXTERNAL PUBLIC RELATIONS	\$20,669	\$21,760	\$22,435
INTERNAL PUBLIC RELATIONS	\$3,338	\$2,934	\$3,125
INSURANCES	\$210,911	\$220,639	\$255,298
ADVERTISING	\$10,996	\$21,988	\$22,725
MAINTENANCE	\$198,015	\$238,487	\$232,199
OPERATING SUPPLIES	\$387,603	\$401,569	\$414,560
DUES & SUBSCRIPTIONS	\$26,686	\$26,710	\$29,203
VEHICLE MAINTENANCE	\$248,791	\$311,402	\$379,722
CONSTR. & MAINT. SERVICES	\$348,831	\$307,557	\$405,305
CONTRACTUAL SERVICES	\$1,974,979	\$2,523,138	\$2,285,545
OTHER EXPENSES	\$57,905	\$55,696	\$60,478
CONTINGENCY	\$108,596	\$160,293	\$178,565
<b>Operating Costs</b>	<b>\$4,092,216</b>	<b>\$4,807,583</b>	<b>\$4,819,331</b>
<b>CAPITAL COSTS</b>			
BUILDING IMPROVEMENTS	\$89,075	\$135,218	\$73,100
COMPUTER SOFTWARE/HARDWARE	\$60,617	\$392,095	\$210,662
MISC EQUIPMENT	\$223,455	\$233,705	\$339,025
OTHER CAPITAL PURCHASES	\$7,834	\$6,206	\$6,900
STREET REPAIRS	\$0	\$0	\$696,160
VEHICLES	\$205,084	\$98,480	\$256,565
<b>Capital Costs</b>	<b>\$586,065</b>	<b>\$865,704</b>	<b>\$1,582,412</b>
<b>Total Operating Expenses</b>	<b>\$14,739,856</b>	<b>\$16,089,623</b>	<b>\$17,290,115</b>
INTER/INTRA FUND TRANSFERS	\$191,658	\$2,926,740	\$0
<b>Total Expenses and Transfers</b>	<b>\$14,931,514</b>	<b>\$19,016,363</b>	<b>\$17,290,115</b>

## **CAPITAL BUDGET HISTORY**

The Capital Budget was established in 1998 to segregate capital improvement items that were financed primarily by a ½ cent Capital Improvement Tax adopted by voters in 1996. Other financing sources for this budget have included intergovernmental revenues such as the Motor Fuel Tax, grants, as well as proceeds from the issuance of certificates of participation (C.O.P.S.) for an aquatic center construction. A ½ cent Park Sales Tax was adopted by voters in 2001 to fund debt for this project.

Expenditures included in the Capital Budget have been primarily land and building improvements, capital equipment items costing \$5,000 or more, and bond debt payments.

The Capital Budget transitioned, in 2010, to allow capital equipment to be purchased from the Operating Budget because said equipment is used for city operations. A Capital Improvement Plan (C.I.P.) is being proposed for 2012 and years forward, in lieu of a capital budget. Separating major capital acquisitions and improvements from operating activities, including the equipment necessary to carry out those activities, provides less distorted and more comprehensive financial reporting for the City, as well as deterring transfers between the two funds. A separate Bond Debt Service Fund is funded by transfers of tax revenues from the C.I.P. if debt exists.

The 5-year Capital Improvement Plan will not only provide expenditures and the revenue sources to fund those expenditures for the upcoming fiscal year, but will reflect insight as to proposed projects for the following four years.

## **CAPITAL IMPROVEMENT PLAN**

Capital improvements are physical improvements to public infrastructure that include storm water systems, bridges, parks, and recreational and other government facilities.

A Five-Year Capital Plan allows the City to proactively plan future capital needs. The first year of the plan reflects projects included in the current fiscal year budget. The remaining four years represent a projected schedule and estimate of future capital needs with projected offsetting revenue. This projected schedule of expenditures is listed by year and will be updated annually with the adoption of each year's budget.

Items included in the Capital Improvement Plan include land/building acquisition, major land/building improvements (\$250,000 and over), systems reconstruction/replacement (\$250,000 and over). Also included in the CIP is major street reconstruction projects offset by federal grants.

Street improvements (other than the major projects previously referred to) are not included in the CIP, but are included in the City's Operating Budget to allow flexibility for changing the scope of the improvements as needed. Minor construction/renovation projects, as well as capital equipment less than \$250,000, will be included in the Operating Budget.

The CIP will list each project/need under a Project Title, with a Project Description (location, scope of work), a Project Justification (why needed). Justification generally states reasons such as: (a) enhance efficiency; (b) public safety; (c) improve public infrastructure; (d) provide higher quality service; (e) availability of grants. The funding method for each project/need is also stated (ex: sales tax, grant).

The Capital Improvement Plan is presented to the Planning and Zoning Commission before being presented to the Board of Aldermen for approval.

**COMBINED STATEMENT OF BUDGETED  
REVENUES and EXPENDITURES  
CAPITAL IMPROVEMENT PLAN**

For the Fiscal Year Ending December 31, 2012

	2010 Actual	2011 Projected	2012 Proposed
<b>Fund Balance, January 1</b>	<b>\$ 290,761</b>	<b>\$ 580,958</b>	<b>\$ 658,035</b>
<b>Revenues</b>			
Capital Improvement Sales Tax	\$ 989,483	\$1,002,022	\$ 500,000
Capital Improvement TIF Sales Tax	114,054	107,405	107,000
Park Sales Tax	785,730	785,469	135,500
Park TIF Sales Tax	145,411	141,663	143,000
Motor Fuel	778,467	766,042	0
Park Fund Donations	3,000	3,000	0
Recreation Contribution	0	43,105	0
Sidewalk Contributions	2,533	0	0
Previous Years Collections	(540)	0	0
Misc Project Reimbursement	39,834	111,760	1,080,000
Misc Parks Grants	13,497	208,810	0
Balance Sheet Escrows Account Interest	180	153	0
<b>Total Capital Revenues</b>	<b>\$2,871,649</b>	<b>\$3,169,429</b>	<b>\$1,965,500</b>
<b>Expenditures</b>			
Administration Department	\$ 37,907	\$ 29,871	\$ 27,727
Parks & Recreation Department	52,712	332,374	80,077
Police Department	0	8,302	0
Public Works Department	717,273	1,208,143	1,351,500
<b>Total Capital Expenditures</b>	<b>\$ 807,892</b>	<b>\$1,578,690</b>	<b>\$1,459,304</b>
<b>Revenues Over Expenditures</b>	<b>\$2,063,757</b>	<b>\$1,590,739</b>	<b>\$ 506,196</b>
<b>Other Financing Sources (Uses)</b>	<b>(\$1,773,560)</b>	<b>(\$1,513,662)</b>	<b>(\$ 974,435)</b>
<b>Fund Balance, December 31</b>	<b>\$ 580,958</b>	<b>\$ 658,035</b>	<b>\$ 189,796</b>

**2012 REVENUE SUMMARY  
2012-2016 CAPITAL IMPROVEMENT PLAN**

	2012	2013	2014	2015	2016
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**Revenues**

Capital Improvement Sales Tax	\$ 500,000	\$ 450,000	\$ 251,000	\$ 252,000	\$ 253,000
Capital Improvement TIF Sales Tax	\$ 107,000	\$ 108,000	\$ 50,000	\$ 51,000	\$ 52,000
Park Sales Tax	\$ 135,500	\$ 136,000	\$ 137,000	\$ 138,000	\$ 139,000
Park TIF Sales Tax	\$ 143,000	\$ 144,000	\$ 50,000	\$ 51,000	\$ 52,000
Miscellaneous Project Reimbursement	\$ 1,080,000	\$ -	\$ -	\$ -	\$ -
Miscellaneous Park Grants	\$ -	\$ 230,000	\$ -	\$ 230,000	\$ -
<b>Revenue Totals:</b>	<b>\$ 1,965,500</b>	<b>\$ 1,068,000</b>	<b>\$ 488,000</b>	<b>\$ 722,000</b>	<b>\$ 496,000</b>

**Transfers In**

Transfers In Sidewalk Escrow	\$ 32,253	\$ -	\$ -	\$ -	\$ -
<b>Totals:</b>	<b>\$ 32,253</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>

**Grand Totals: \$ 1,997,753   \$ 1,068,000   \$ 488,000   \$ 722,000   \$ 496,000**

Notes: Sales taxes are planned to increase by a small amount each year, but after all debt is paid off in 2013, a portion of these revenues is planned to be redirected to the City's General Fund budget to help offset park operations costs and maintenance of capital improvements.



**2012 EXPENDITURE SUMMARY  
2012-2016 CAPITAL IMPROVEMENT PLAN**

<b>Project By Department</b>	<b>2012</b>	<b>2013</b>	<b>2014</b>	<b>2015</b>	<b>2016</b>
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**Administration**

Gov't Center Boardroom/Lobby Add					\$ 4,000,000
TIF Municipal Revenue Funding	\$ 27,727	\$ 28,000	\$ 30,000	\$ 32,000	\$ 34,000
<b>Totals:</b>	<b>\$ 27,727</b>	<b>\$ 28,000</b>	<b>\$ 30,000</b>	<b>\$ 32,000</b>	<b>\$ 4,034,000</b>

**Parks & Recreation**

Ferris Park Redevelopment - Phase 1	\$ 30,000	\$ 250,000			
Ferris Park Redevelopment - Phase 2			\$ 250,000		
Golf Course Parking Lot Renovation		\$ 250,000			
Golf Course Irrigation System				\$ 750,000	
New Ballwin Park Playground Reno					\$ 250,000
TIF Municipal Revenue Funding	\$ 50,077	\$ 51,000	\$ 53,000	\$ 55,000	\$ 57,000
<b>Totals:</b>	<b>\$ 80,077</b>	<b>\$ 551,000</b>	<b>\$ 303,000</b>	<b>\$ 805,000</b>	<b>\$ 307,000</b>

**Public Works**

Kehr's Mill Resurfacing/Sidewalks	\$ 1,351,500				
<b>Totals:</b>	<b>\$ 1,351,500</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>

**Expense Totals: \$ 1,459,304 \$ 579,000 \$ 333,000 \$ 837,000 \$ 4,341,000**

**Transfers Out**

Transfers Out for C.O.P.S. Debt	\$ -	\$ 506,500			
Transfers Out for G O Bond Debt	\$ 241,605				
Transfers Out for G O Bond Debt	\$ 765,083				
<b>Totals:</b>	<b>\$ 1,006,688</b>	<b>\$ 506,500</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>

**Grand Totals: \$ 2,465,992 \$ 1,085,500 \$ 333,000 \$ 837,000 \$ 4,341,000**

**CITY OF BALLWIN  
BOND INDEBTEDNESS  
2012**

**GENERAL OBLIGATION BONDS  
2012**

Original Issue - 1992		\$	12,285,000
	Payments thru 12/31/97:	\$	(1,945,000)
Refunded Amount 7/2/98		\$	10,340,000
	Payments thru 12/31/08:	\$	(6,635,000)
<b>Total Bond Indebtedness 12/31/08:</b>		<b>\$</b>	<b>3,705,000</b>
Refunded Amount 9/8/08; debt retirement - 9/1/12		\$	3,695,000
	Payments thru 12/31/11:	\$	(2,720,000)
<b>Total Bond Indebtedness 12/31/11:</b>		<b>\$</b>	<b>975,000</b>
 <b>Bond Requirements for 2012:</b>			
	Principal - 9/2012:	\$	975,000.00
	Interest - 3/2012:	\$	15,843.75
	Interest - 9/2012:	\$	15,843.75
		<b>\$</b>	<b>1,006,687.50</b>
<b>Total Bond Indebtedness 12/31/12:</b>		<b>\$</b>	<b>-</b>

**DEBT LIMITATIONS**

	Assessed Valuation - 9/11:	\$	594,472,828
	Debt Limit = 10% of Assessed Valuation:	\$	59,447,283
	Amount of debt applicable to debt limit:	\$	(975,000)
<b>Legal Debt Margin:</b>		<b>\$</b>	<b>58,472,283</b>

The City of Ballwin currently has one (1) general bond issue outstanding. The original \$12,285,000 issue at 5.75% was divided into two (2) parts. One part in the amount of \$3,000,000 (1992A) was for the construction of a community center, also known as The Pointe at Ballwin Commons. The second part in the amount of \$9,285,000 (1992B) was for street and road improvement projects for the following roadways:

Barker Lane, Barton Lane, Brookside Lane, Center Court, Churchill Lane, Coronet Drive, Country Creek Court, Dale Court, Essen Lane, Highview Drive, Hillsdale Drive, Holloway Road, Kent Court, Lakewood Lane, Lock Drive, Maple Lane, Meadowbrook Drive, Mockingbird Lane, Orchard Lane, Parkway Drive, Ramsey Lane, Rausch Court, Reinke Road, Ries Road, Rethmeier Court, Robin Hill Lane, Sharon Place, Shirley Lane, St. Joseph Drive, Sunnyside Court, Streiff, Warbler Court, White Tree Lane, Windcliffe Drive and Woerther Lane. Sidewalk, slab, and curb/gutter replacements were included, as well as Old Ballwin Road and Ramsey Lane bridges.

The issue was refunded in 1998 at 4.5% and again in 2008 at 2.7%. The 2008 refunded debt totaled \$3,695,000. Bond requirement payments for 2012 are listed above.

The City's debt is limited to ten (10%) percent of the current assessed valuation of \$594,472,828 which this year equates to \$59,447,283. Subtracting our current outstanding debt of \$975,000, the City has a legal available debt limit of \$58,472,283.

**CERTIFICATE OF PARTICIPATION  
2012**

North Pointe Aquatic Center			
Original Issue - 8/1/02; original debt retirement - 9/1/17		\$	8,210,000.00
Payments thru 12/31/11:		\$	(4,380,000.00)
Partial defeasance 2014-2017		\$	(2,655,000.00)
<b>Total C.O.P.S. Debt 12/31/11:</b>		<b>\$</b>	<b>1,175,000.00</b>
Payments Due in 2012:			
3/1/12 Interest:	\$	23,212.50	
9/1/12 Interest/Principal:	\$	23,212.50	\$ 575,000.00
<b>Total C.O.P.S. Reduction Requirements for 2012:</b>		<b>\$</b>	<b>621,425.00</b>
<b>Total C.O.P.S. Indebtedness 12/31/12:</b>		<b>\$</b>	<b>600,000.00</b>

**TAX INCREMENT REFUNDING & IMPROVEMENT REVENUE BONDS  
2012**

Olde Towne Plaza			
Original Issue - 6/1/02; debt retirement - 10/1/22		\$	20,100,000.00
Payments thru 12/31/11:		\$	(4,815,000.00)
Redemptions		\$	(305,000.00)
<b>Total TIF Debt 12/31/11:</b>		<b>\$</b>	<b>14,980,000.00</b>
Payments Due in 2012:			
4/1/12 Interest:	\$	453,228.13	
10/1/12 Interest/Principal:	\$	453,228.13	\$ 680,000.00
<b>Total TIF Reduction Requirements for 2012:</b>		<b>\$</b>	<b>1,586,456.26</b>
<b>Total TIF Indebtedness 12/31/12:</b>		<b>\$</b>	<b>14,300,000.00</b>
<b>TOTAL CITY DEBT REQUIREMENTS FOR 2012 (excluding TIF):</b>			<b>\$ 1,628,112.50</b>
<b>TOTAL CITY DEBT REQUIREMENTS FOR 2012 (including TIF):</b>			<b>\$ 3,214,568.76</b>

**CAPITAL LEASES****2012**

<b>PURCHASE</b>	<b>DATE OF LEASE</b>	<b>LEASE AMOUNT</b>	<b>PAYMENTS THRU 2011</b>	<b>2012</b>		
				<b>2012 INT PAYMENT</b>	<b>PRINCIPAL PAYMENT</b>	<b>REMAINING DEBT</b>
Fitness Equipment	4/1/09	\$ 106,920	\$ (94,397.58)	\$ (104.38)	\$ (12,522.42)	\$ -
4wd Loader	4/1/10	\$ 118,744	\$ (79,170.00)	\$ (1,005.85)	\$ (39,574.00)	\$ -
Fitness Equipment	4/1/12	\$ 128,000	\$ -	\$ (3,098.35)	\$ (27,134.21)	\$ 100,865.79
<b>Total Capital Lease Debt 12/31/11:</b>		<b>\$ 180,096</b>				
<b>Total Capital Lease Reduction Requirements for 2012:</b>					<b>\$ (79,230.63)</b>	
<b>Total Capital Lease Indebtedness 12/31/12:</b>						<b>\$ 100,865.79</b>

# Bond Debt Schedule

<u>FYE 12/31</u>	<b>General Obligation Bonds (refunded 9/08)</b>		<b>Certificates of Participation (2002 issue)</b>	
	<u>Principal</u>	<u>Interest</u>	<u>Principal</u>	<u>Interest</u>
2012	\$ 975,000	\$ 31,688	\$ 575,000	\$ 159,551
2013	\$ -	\$ -	\$ 600,000	\$ 137,126
<b>Totals:</b>	<b>\$ 975,000</b>	<b>\$ 31,688</b>	<b>\$ 1,175,000</b>	<b>\$ 296,678</b>

<u>FYE 12/31</u>	<b>Special Revenue Bonds (2002 TIF 2-A issue)</b>	
	<u>Principal</u>	<u>Interest</u>
2012	\$ 680,000	\$ 906,456
2013	\$ 750,000	\$ 867,356
2014	\$ 850,000	\$ 822,356
2015	\$ 930,000	\$ 771,356
2016	\$ 1,195,000	\$ 715,556
2017	\$ 1,305,000	\$ 640,869
2018	\$ 1,420,000	\$ 559,306
2019	\$ 1,550,000	\$ 474,019
2020	\$ 1,715,000	\$ 380,969
2021	\$ 1,860,000	\$ 278,019
2022	\$ 2,725,000	\$ 166,331
	<b>\$ 14,980,000</b>	<b>\$ 6,582,594</b>

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# City of Ballwin General Obligation Bonds

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The City of Ballwin issued \$12,285,000 in General Obligation Bonds in 1992. The issue was divided into two sections – 1992(A) for \$3,000,000 to construct The Pointe at Ballwin Commons community center; and 1992(B) for \$9,285,000 to improve 35 streets and roadways, as well as sidewalk, slab, and curb/gutter replacements, and a bridge replacement.

The remaining \$10,340,000 of this issue was refunded in 1998 at a 4.5% interest rate. Another refunding, at a 2.7% interest rate, was approved in 2008 for the remaining \$3,695,000 balance of this issue. This debt will be paid in full in 2012.

**COMBINED STATEMENT OF BUDGETED  
REVENUES and EXPENDITURES  
DEBT SERVICE - G O BOND FUND**

For the Fiscal Year Ending December 31, 2012

	2010 Actual	2011 Actual	2012 Budget
<b>Fund Balance, January 1</b>	\$ -0-	\$ -0-	\$ -0-
<b>Revenues</b>	-0-	-0-	-0-
<b>Expenditures</b>			
Public Works	\$ 762,613	\$ 761,701	\$ 765,083
Parks	<u>240,825</u>	<u>240,537</u>	<u>241,605</u>
<b>Total Expenditures</b>	<b>\$1,003,438</b>	<b>\$1,002,238</b>	<b>\$1,006,688</b>
<b>Revenues Over Expenditures</b>	<b>(\$1,003,438)</b>	<b>(\$1,002,238)</b>	<b>(\$1,006,688)</b>
<b>Other Financing Sources (Uses)</b>			
Transfers in – Public Works	\$ 762,613	\$ 761,701	\$ 765,083
Transfers in – Parks	<u>240,825</u>	<u>240,537</u>	<u>241,605</u>
<b>Total Other Financing Sources</b>	<b>\$1,003,438</b>	<b>\$1,002,238</b>	<b>\$1,006,688</b>
<b>Fund Balance, December 31</b>	<b>\$ -0-</b>	<b>\$ -0-</b>	<b>\$ -0-</b>

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## City of Ballwin C.O.P.S. Debt - 2002

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The City of Ballwin issued \$8,210,000 in Certificate of Participation debt in 2002 to finance construction of the North Pointe Aquatic Center. Voters had approved a ½ cent Park Sales Tax in 2001 to pay this debt. This issue initially was to be paid in full in 2017, but a defeasance of years 2014-2017 was paid in September 2011, so this debt issue will be paid off in 2013.



**COMBINED STATEMENT OF BUDGETED  
REVENUES and EXPENDITURES  
DEBT SERVICE – 2002 C.O.P.S. FUND**

For the Fiscal Year Ending December 31, 2012

	2010 Actual	2011 Actual	2012 Budget
<b>Fund Balance, January 1</b>	\$ -0-	\$ -0-	\$ -0-
<b>Revenues</b>	-0-	-0-	-0-
<b>Expenditures</b>			
Parks – annual payment	\$735,436	\$ 735,641	\$621,425
Partial defeasance 2014-2017	<u>0</u>	<u>2,655,000</u>	<u>0</u>
<b>Total Expenditures</b>	<b>\$735,436</b>	<b>\$3,390,641</b>	<b>\$621,425</b>
<b>Revenues Over Expenditures</b>	<b>(\$735,436)</b>	<b>(\$3,390,641)</b>	<b>(\$621,425)</b>
<b>Other Financing Sources (Uses)</b>			
Transfers in – Parks Fund	\$734,998	\$3,390,559	\$ -0-
Transfers in – debt reserves	<u>438</u>	<u>82</u>	<u>621,425</u>
<b>Total Other Financing Sources</b>	<b>\$735,436</b>	<b>\$3,390,641</b>	<b>\$621,425</b>
<b>Fund Balance, December 31</b>	<b>\$ -0-</b>	<b>\$ -0-</b>	<b>\$ -0-</b>

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## City of Ballwin 2002 TIF 2-A Bonds

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The City of Ballwin approved \$20,100,000 in Tax Increment Financing (TIF) Bonds, in 2002, to help construct the Olde Towne retail center and construct a connector road that flows north and south of Manchester Road to assist in traffic flow. TIF allows taxes generated in the district to be captured to pay this bond debt. Only the top half of city sales and utility taxes generated in the district are captured. The City's only other contribution to this debt payment is a cap of \$250,000 per year from the bottom half of tax revenues received from the district. This issue will be paid in full in 2022.

**COMBINED STATEMENT OF BUDGETED  
REVENUES and EXPENDITURES  
OLD TOWNE TIF FUND**

For the Fiscal Year Ending December 31, 2012

	<b>2010 Actual</b>	<b>2011 Projected</b>	<b>2012 Budget</b>
<b>Fund Balance, January 1</b>	\$ -0-	-0-	-0-
<b>Revenues</b>			
Economic Activity Taxes (EATs)	\$ 693,670	\$ 675,000	\$ 685,000
Payments in Lieu of Taxes (PILOTS)	\$ 513,661	\$ 508,000	\$ 510,000
Special Allocation Account Interest	<u>\$ 165</u>	<u>\$ 164</u>	<u>\$ 150</u>
<b>Total Revenues</b>	<b>\$1,207,496</b>	<b>\$1,183,164</b>	<b>\$1,195,150</b>
<b>Expenditures</b>	<b>-0-</b>	<b>-0-</b>	<b>-0-</b>
<b>Revenues Over Expenditures</b>	<b>\$1,207,496</b>	<b>\$1,183,164</b>	<b>\$1,195,150</b>
<b>Other Financing Sources (Uses)</b>			
Transfers in (TDD Revenues-top half)	\$ 60,579	\$ 65,008	\$ 66,008
Transfers in (Municipal Revenues)	\$ 151,628	\$ 152,058	\$ 146,369
Transfers out for TIF 2-A Bond Payments	<u>(\$1,419,703)</u>	<u>(\$1,400,230)</u>	<u>(\$1,407,527)</u>
<b>Total Other Financing Sources</b>	<b>(\$1,207,496)</b>	<b>(\$1,183,164)</b>	<b>(\$1,195,150)</b>
<b>Fund Balance, December 31</b>	<b>-0-</b>	<b>-0-</b>	<b>-0-</b>

## TAX INCREMENT FINANCING OVERVIEW OLDE TOWNE PLAZA

The City of Ballwin has one active Tax Increment Financing (TIF) District – Olde Towne Plaza which opened in 2001-2002.

A Redevelopment Plan was adopted in 1999 to establish a retail center with sixteen (16) units available for commercial occupancy on the south side of Manchester Road in downtown Ballwin. Zelman Retail Partners, Inc. submitted a redevelopment proposal for Redevelopment Project 2-A on July 14, 1999. \$13,665,000 of the project's costs was authorized to be covered by TIF bonds. The development agreement was transferred to Regency/DS Ballwin LLC, in June, 2000, and subsequently sold to DLC Management Corporation of Tarrytown, New York, in December, 2002. The development was again sold in April, 2006, to The Bedrin Organization of New Jersey.

The retail center was 100% occupied until November, 2005. Five businesses have closed since that date, and two new businesses have opened. Big Lots opened in November, 2011, replacing the space previously held by Ultimate Electronics

The following is a list of Olde Towne occupants:

Beauty First	Opened 6/10/02
Big Lots	Opened 11/4/11
Check Into Cash	Opened 5/16/05
Clarkson Eyecare	Opened 3/1/04
Fed Ex Kinko's	Opened 9/1/02
HomeGoods	Opened 3/24/02
Lowe's Home Improvement	Opened 3/8/02
Marshall's	Opened 4/18/02
McAlister's Deli	Opened 8/8/02
Senor Pique's	Opened 2/08
Sports Clips	Opened 8/2/02
Starbuck's	Opened 8/1/02
SteinMart	Opened 10/15/01

The plaza has 265,245 square feet of retail space. The tenant mix is 10% service and 90% retail. The sales tax rate, which includes a \$.25 Transportation Development District (TDD) tax, is 8.175%. This tax is broken down as follows:

State taxes	4.225%
St. Louis County taxes	2.700%
Ballwin Sales taxes	1.000%
Transportation Development District tax	<u>0.250%</u>
Total:	8.175%

The TIF and TDD bond financing was provided by A. G. Edwards. The bond trustee is Commerce Bank of Kansas City. Since its inception, all financial obligations have been met. Based on historical data and economic forecasts, it is expected that this trend will continue in 2012.

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## Ballwin Town Center TDD

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The Olde Towne Transportation Development District (TDD) was formed in 2001 to impose a one-quarter percent (.25%) sales tax on all retail sales from businesses located within the District. This sales tax was imposed to assist in financing the construction of a connector road north and south of the retail area in the District that was designed to help alleviate traffic congestion on Manchester Road. The District has a board comprising five (5) members that usually meet semi-annually.

The sales tax is collected by the State of Missouri, forwarded to the City and passed through to the TIF bond trustee for debt payments.

**COMBINED STATEMENT OF BUDGETED  
REVENUES and EXPENDITURES  
BALLWIN TOWNE CENTER TRANSPORTATION DEVELOPMENT  
DISTRICT**

For the Fiscal Year Ending December 31, 2012

	2010 Actual	2011 Projected	2012 Budget
<b>Fund Balance, January 1</b>	-0-	-0-	-0-
<b>Revenues</b>			
TDD Taxes <sup>1</sup>	\$134,204	\$130,000	\$132,000
TDD Account Interest	<u>8</u>	<u>8</u>	<u>8</u>
<b>Total TDD Revenues</b>	<b>\$134,212</b>	<b>\$130,008</b>	<b>\$132,008</b>
<b>Expenditures</b>			
TDD Administrative Fees	\$ 7,877	\$ 5,000	\$ 7,500
TDD Audit Fees	<u>3,250</u>	<u>2,600</u>	<u>2,650</u>
<b>Total TDD Expenditures</b>	<b>\$ 11,127</b>	<b>\$ 7,600</b>	<b>\$ 10,150</b>
<b>Revenues over Expenditures</b>	<b>\$123,085</b>	<b>\$122,408</b>	<b>\$121,858</b>
<b>Other Financing Sources (Uses)</b>			
Transfers Out – EATs Fund <sup>2</sup>	(\$ 67,102)	(\$ 65,008)	(\$ 66,008)
Transfers Out – TDD Revenues Fund <sup>3</sup>	<u>( 55,983)</u>	<u>(\$ 57,400)</u>	<u>(\$ 55,850)</u>
<b>Total Other Financing Sources</b>	<b>(\$123,085)</b>	<b>(\$122,408)</b>	<b>(\$121,858)</b>
<b>Fund Balance, December 31</b>	<b>-0-</b>	<b>-0-</b>	<b>-0-</b>

<sup>1</sup>Estimated transportation development district sales tax (“TDD Sales Tax”) in the amount of ¼ of one cent imposed on retail sales within the District.

<sup>2</sup>Top fifty percent (50%) of TDD Sales Tax receipts, as required by TIF Act, is deposited into the EATs Account of the Special Allocation Fund under Trust Indenture (“Indenture”) between City of Ballwin, Missouri and Commerce Bank, N.A., as trustee, and used to pay TIF Bonds (as defined in Indenture).

<sup>3</sup>Remaining TDD Sales Tax revenues appropriated and applied as provided in Intergovernmental Cooperation Agreement between District and City of Ballwin, Missouri. TDD Sales Tax revenues do not include (i) any amount paid under protest until the protest is withdrawn or resolved against the taxpayer and (ii) any sum received by the District which is the subject of a suit or other claim communicated to the District, which suit or claim challenges the collection of such sum.

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## City of Ballwin Sewer Lateral Fund

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The Sewer Lateral Fund was established in 1999, by voter approval, to enter into a contract with St. Louis County for the collection of a maximum per annum fee of \$28 on all residential property having six or less dwelling units to provide funds to pay the cost of certain repairs of defective lateral sewer service lines of those dwelling units.

Fees are assessed each year with property taxes and are distributed, minus a 1% collection fee, from St. Louis County to the City of Ballwin on a monthly basis. Residents sign up to receive a limit of \$3,000 from this fund and are awarded these funds as they become available.



**COMBINED STATEMENT OF BUDGETED  
REVENUES and EXPENDITURES  
SEWER LATERAL FUND**

For the Fiscal Year Ending December 31, 2012

	2010 Actual	2011 Projected	2012 Proposed
<b>Fund Balance, January 1</b>	<b>\$245,032</b>	<b>\$254,299</b>	<b>\$242,364</b>
<b>Revenues</b>			
Sewer Lateral Fees	\$300,866	\$296,172	\$296,000
Sewer Lateral Account Interest	<u>120</u>	<u>111</u>	<u>0</u>
<b>Total Sewer Lateral Revenues</b>	<b>\$300,986</b>	<b>\$296,283</b>	<b>\$296,000</b>
<b>Expenditures</b>			
Sewer Lateral Administrative Fees	\$ 32,501	\$ 32,368	\$ 33,385
Sewer Lateral Costs	<u>259,218</u>	<u>275,850</u>	<u>262,000</u>
<b>Total Sewer Lateral Expenditures</b>	<b>\$291,719</b>	<b>\$308,218</b>	<b>\$295,385</b>
<b>Revenues Over Expenditures</b>	<b>\$ 9,267</b>	<b>\$ (11,935)</b>	<b>\$ 615</b>
<b>Other Financing Sources (Uses)</b>	<b>-0-</b>	<b>-0-</b>	<b>-0-</b>
<b>Fund Balance, December 31</b>	<b>\$254,299</b>	<b>\$242,364</b>	<b>\$242,979</b>

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# City of Ballwin Federal Asset Seizure Fund

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The Department of Justice governs the equitable sharing of federally forfeited property due to drug enforcement seizures. Ballwin is a participant in the DOJ Equitable Sharing Program from which we receive transfers of our share of federal seizure funds through the St. Louis County Multi-Jurisdictional Drug Task Force.

Federal Asset Seizure funds may be used toward training for investigators, prosecutors, and law enforcement support personnel, as well as for equipment, firearms, detention facilities, and other qualified non-budgeted expenses.

**COMBINED STATEMENT OF BUDGETED  
REVENUES and EXPENDITURES  
FEDERAL ASSET SEIZURE FUND**

For the Fiscal Year Ending December 31, 2012

	2010 Actual	2011 Projected	2012 Proposed
<b>Fund Balance, January 1</b>	<b>\$107,776</b>	<b>\$131,088</b>	<b>\$132,091</b>
<b>Revenues</b>			
Fed Asset Seizure Sharing	\$ 58,372	\$ 33,829	\$ 35,000
Fed Asset Seizure Account Interest	<u>200</u>	<u>174</u>	<u>175</u>
<b>Total Revenues</b>	<b>\$ 58,572</b>	<b>\$ 34,003</b>	<b>\$ 35,175</b>
<b>Expenses</b>			
Fed Asset Seizure Expenses	<u>\$ 35,260</u>	<u>\$ 33,000</u>	<u>\$ 35,000</u>
<b>Total Expenditures</b>	<b>\$ 35,260</b>	<b>\$ 33,000</b>	<b>\$ 35,000</b>
<b>Revenues Over Expenditures</b>	<b>\$ 23,312</b>	<b>\$ 1,003</b>	<b>\$ 175</b>
<b>Other Financing Sources (Uses)</b>	<b>-0-</b>	<b>-0-</b>	<b>-0-</b>
<b>Fund Balance, December 31</b>	<b>\$131,088</b>	<b>\$132,091</b>	<b>\$132,266</b>

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## City of Ballwin P.O.S.T. Fund

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Missouri Revised Statutes Section 488.5336 allows the municipal court to assess a surcharge of one dollar for each criminal case involving violations of municipal ordinances, provided that the defendant has not been dismissed by the court or when costs are to be paid by the municipality. The collections of this assessment are submitted to the State treasury to the credit of the Peace Officer Standards and Training commission (P.O.S.T.). The State of Missouri then allocates Ballwin's funds annually.

P.O.S.T. funds may be used toward any training provided in Missouri Revised Statutes sections 590.100 to 590.180 and additional training for other law enforcement employees appointed by Ballwin. Meals and lodging, in conjunction with training that meets the continuing education requirements, may be charged to the fund. Equipment to be used in classroom training of certified peace officers, and contract services for training, may also be charged to this fund.

**COMBINED STATEMENT OF BUDGETED  
REVENUES and EXPENDITURES  
P.O.S.T. FUND**

For the Fiscal Year Ending December 31, 2012

	2010 Actual	2011 Projected	2012 Proposed
<b>Fund Balance, January 1</b>	<b>\$ 79,024</b>	<b>\$ 76,665</b>	<b>\$ 73,512</b>
<b>Revenues</b>			
P.O.S.T. Funds	\$ 6,389	\$ 6,254	\$ 6,300
P.O.S.T. Funds Account Interest	<u>118</u>	<u>93</u>	<u>90</u>
<b>Total Revenues</b>	<b>\$ 6,507</b>	<b>\$ 6,347</b>	<b>\$ 6,390</b>
<b>Expenditures</b>			
P.O.S.T. Fund Training	<u>\$ 8,866</u>	<u>\$ 9,500</u>	<u>\$ 8,500</u>
<b>Total Sewer Lateral Expenditures</b>	<b>\$ 8,866</b>	<b>\$ 9,500</b>	<b>\$ 8,500</b>
<b>Revenue Over Expenditures</b>	<b>\$ (2,359)</b>	<b>\$ (3,153)</b>	<b>\$ (2,110)</b>
<b>Other Financing Sources (Uses)</b>	<b>-0-</b>	<b>-0-</b>	<b>-0-</b>
<b>Fund Balance, December 31</b>	<b>\$ 76,665</b>	<b>\$ 73,512</b>	<b>\$ 71,402</b>