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# City of Ballwin



## 2013 Budget Summary

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## BUDGET MESSAGE

Our 2012 budget was typical, in that it was marked by several major projects and unique revenue opportunities that are not anticipated for 2013. The Kehrs Mill reconstruction, for example, spiked our street improvement commitment by nearly \$1 million. Our successful grant applications for solar installations at the Government Center and The Pointe at Ballwin Commons also impacted our numbers on the revenue, as well as the expenditure side.

For 2013, we will be getting back to basics with a concentration on community wide programs and services.

No additional full-time positions will be added. In fact, our current number of 142 full-time employees, moving forward, will actually be 11 fewer than it was 5 years ago.

All programs and services will continue at current levels. This includes free residential street lighting, even though our annual lighting costs are expected to exceed \$500,000 as a result of the pending Ameren rate hike.

We will also be enhancing our financial condition in the year ahead. Only \$506,500 will be required to fulfill our outstanding debt obligations. After 2013, the City will be completely debt free.

Our fiscal plan reflects a heavy emphasis on preservation and enhancement of our infrastructure. The Board room will undergo a major upgrade to address long-standing audio/visual issues and improve security.

From a Parks perspective, we will be sealing parking lots and tennis courts, making electrical improvements for Ballwin Days and other festivals, and freshening up the public spaces at The Pointe.

The Police Department will see extensive floor treatment replacement, as well as more efficient conference space in conjunction with the Board room remodel.

We will enhance our operational efficiencies by continuing our investment in technology, which will not only include hardware and software upgrades, but also the addition of tablets for field personnel and department heads.

Finally, reliable delivery of essential services, such as snow removal, will be insured with the replacement of a dump truck and two-ton truck with salt spreaders.

The investment in pavement maintenance (street improvements) will be \$1,504,860 on a city-wide basis. This is slightly higher than our 2012 commitment.

Overall, we are extremely pleased to present a comprehensive plan that will preserve and enhance the quality of life for all residents of this great community.

Sincerely,

Mayor Tim Pogue

# MISSION STATEMENT

The City of Ballwin is a proud community with a history of delivering a wide range of top quality programs and services which are geared toward providing a safe and friendly environment for all of our residents, businesses, and visitors. The governing body and the entire city staff are committed to applying all revenue resources in the most cost efficient manner to maintain this high standard.

In the interest of achieving this goal, we further pledge to:

- Maintain an open dialogue with residents and businesses within our community to insure continued connectivity;
- Promote, encourage, and pursue all reasonable alternatives that would lead toward energy conservation and environmental sensitivity;
- Provide and encourage a wide variety of cultural and recreational opportunities for all age groups, to promote local identification and a sense of community pride and value;
- Enhance property values by continuing to aggressively enforce all maintenance codes and business regulations;
- Continue to maintain and improve all public infrastructure including streets and all city facilities;
- Ensure a safe and secure environment for all residents and businesses by maintaining a strong and positive police presence within our community;
- Treat all customers and constituents as we would expect to be treated in a fair, courteous and equitable manner. Through effective communication, we will constantly strive to say what we will do, then do what we say.

# 2013 CITY OF BALLWIN FACTS

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<b>Date of Incorporation:</b>	December 29, 1950
<b>Form of Government:</b>	City of the Fourth Class; Mayor / Board of Aldermen / City Administrator
<b>Population:</b>	30,404 (2010 Census)
<b>Miles of Streets:</b>	115 miles
<b>Police Dispatching Population:</b>	48,498 (including City of Manchester)
<b>Total Housing Units:</b>	12,451
<b>Number of Businesses:</b>	338
<b>Number of Employees: (Full-Time)</b>	Police – 51 (sworn)                      Public Works - 32 Police – General – 12                      Administration - 19 Parks & Rec. - 28

(Updated: 11/28/12)

## **SERVICES OVERVIEW**

**POLICE:**  
636-227-9636  
FX: 636-207-2340  
police@ballwin.mo.us

Our full-service Police Department, located at 300 Park Drive (in Vlasik Park) operates 24 hours a day, seven days a week; 24 hour dispatch, investigation and patrol, Neighborhood Watch, Traffic/Speed Enforcement, Community Oriented Policing (COPS), D.A.R.E., and Are You O.K.?

**PUBLIC WORKS:**  
636-227-9000  
FX: 636-207-2333  
pw@ballwin.mo.us

The Public Works Department operates out of a complex which is also located in Vlasik Park at 200 Park Drive. The regular work hours for the Public Works Department are 7:00 a.m. – 3:30 p.m. Monday – Friday. Snow plowing, street and sign maintenance, leaf collection, street sweeping, sidewalk repair, and limited storm water maintenance are provided as basic services.

**PARKS:**  
636-227-8950  
FX: 636-207-2330  
pointe@ballwin.mo.us

The Parks Department is headquartered at The Pointe At Ballwin Commons Community Center, located at #1 Ballwin Commons Circle. Festival coordination, programming, golf, tennis, swimming, horseshoes, fitness center, facility rentals, park maintenance, street tree trimming, and brush pickup.

**ADMINISTRATION:**  
636-227-8580  
FX: 636-207-2320  
administration@ballwin.mo.us

The Ballwin Government Center, located at 14811 Manchester Road (in Vlasik Park) is open to serve the public Monday – Friday from 8:00 a.m. – 5:00 p.m. Public relations, personnel, occupancy/building inspections and permits, code enforcement, planning and zoning, utility and contract coordination, licensing, revenue collection, court fine payments, and record keeping are functions performed at this location.

## **2013 HOLIDAYS:**

Jan. 1 – New Years Day	July 4 – Independence Day
Jan. 21 – Martin Luther King, Jr. Day	Sept. 2 – Labor Day
Feb. 18 – President’s Day	Nov. 28 & 29 - Thanksgiving
May 27 – Memorial Day	Dec. 24 & 25 Christmas Eve & Christmas

*Offices will be closed and all official meetings will be cancelled or rescheduled on these dates.*

# GOVERNING INFORMATION

The Ballwin Board of Aldermen meet at 7:00 p.m. on the second and fourth Mondays of each month in the Board Room of the Donald “Red” Loehr Police & Court Center, which is located in Vlasik Park off Holloway Road.

The Board of Aldermen is a legislative group which is comprised of eight members, two from each of our four wards. The Mayor is elected at large. All serve two-year terms which are staggered. The Mayor serves as ceremonial head of the City and presiding officer at all Board Meetings.

All meetings of the Ballwin Board of Aldermen are open to the public and the building is handicapped accessible. Attendance and participation is invited and encouraged. All agendas are posted on the website at last 48 hours in advance of all scheduled meetings.

www.ballwin.mo.us      636-227-8580      Fax: 636-207-2320      Voice Mail: 636-207-2386 + VM #

NAME	CONTACT INFORMATION	WARD	TERM
<b>Mayor Tim Pogue</b> 418 Bush Drive Ballwin, MO 63021	Home: 636-391-3591 Gov. Ctr: 636-207-2386 x-3320 tpogue@ballwin.mo.us	At Large	April, 2013
<b>Alderman Jimmy Terbrock</b> 219 Ramsey Lane Ballwin, MO 63021	Gov. Ctr.: 636-207-2386 x-3330 jterbrock@ballwin.mo.us	1	April, 2013
<b>Alderman Michael Finley</b> 50 Roland Avenue Ballwin, MO 63021	Home: 314-283-3007 Gov. Ctr.: 636-207-2386 x-3310 mfinley@ballwin.mo.us	1	April, 2014
<b>Alderman Mark Harder</b> 542 Lering Ct. Ballwin, MO 63011	Gov. Ctr.: 636-207-2386 x-3350 mharder@ballwin.mo.us	2	April, 2013
<b>Alderman Shamed Dogan</b> 212 Oakwood Farms Ct. Ballwin, MO 63021	Home: 314-306-5537 Gov. Ctr.: 636-207-2386 x-3340 sdogan@ballwin.mo.us	2	April, 2014
<b>Alderman Frank Fleming</b> 619 Spring Meadows Dr. Ballwin, MO 63011	Home: 636-230-8839 Gov. Ctr.: 636-207-2386 x-3370 ffleming@ballwin.mo.us	3	April, 2014
<b>Alderman Jim Leahy</b> 308 Wildforest Dr. Ballwin, MO 63011	Home: 636-391-0701 Gov. Ctr.: 636-207-2386 x-3360 jleahy@ballwin.mo.us	3	April, 2013
<b>Alderman Richard Boerner</b> 726 Oak Meadows Ct. Ballwin, MO 63021	Gov. Ctr.: 636-207-2386 x-3380 rboerner@ballwin.mo.us	4	April, 2013
<b>Alderman Kathy Kerlagon</b> 1146 Westrun Dr. Ballwin, MO 63021	Home: 636-391-6794 Gov. Ctr.: 636-207-2386 x-3390 kkerlagon@ballwin.mo.us	4	April, 2014

<b>City Attorney:</b>	Robert E. Jones	314-725-8788
<b>Staff Contact:</b>	Robert A. Kuntz, City Administrator 636-227-8580	
<b>City Clerk:</b>	Marie Clark, 636-227-8580	

After-hour emergencies may be reported by calling (636) 527-9200. For police, fire, or ambulance emergencies, dial 911. For other information about our various programs and services, dial (636) 207-2306 any time, day or night, or visit our website at [www.ballwin.mo.us](http://www.ballwin.mo.us).

Residents of Ballwin are afforded an equal opportunity to participate in the programs and services of the City of Ballwin regardless of race, color, religion, sex, age, disability, familial status, national origin or political affiliation. If you require ADA accommodation, please call (636) 227-8580 V or (636) 227-5200 TDD or 1-800-735-2466 (Relay Missouri) no later than 5:00 p.m. on the third business day preceding the hearing.

## STAFF

<b>ADMINISTRATION (636) 227-8580 FAX: (636) 207-2320</b> <b><u><a href="mailto:administrator@ballwin.mo.us">administrator@ballwin.mo.us</a></u></b>	
Robert A. Kuntz Thomas H. Aiken Denise Keller Jerry Klein Marie Clark Haley Morrison Paula Reeds Chris Northcutt Terri Johnson	- City Administrator <a href="mailto:bkuntz@ballwin.mo.us">bkuntz@ballwin.mo.us</a> - Assistant City Administrator/City Planner <a href="mailto:taiken@ballwin.mo.us">taiken@ballwin.mo.us</a> - Finance Officer <a href="mailto:denisekeller@ballwin.mo.us">denisekeller@ballwin.mo.us</a> - Code Enforcement Supervisor <a href="mailto:jklein@ballwin.mo.us">jklein@ballwin.mo.us</a> - Administrative Assistant / City Clerk <a href="mailto:mclark@ballwin.mo.us">mclark@ballwin.mo.us</a> - Human Resource Coordinator <a href="mailto:hmorrison@ballwin.mo.us">hmorrison@ballwin.mo.us</a> - Information Systems Manager <a href="mailto:preeds@ballwin.mo.us">preeds@ballwin.mo.us</a> - Court Clerk <a href="mailto:cnorthcutt@ballwin.mo.us">cnorthcutt@ballwin.mo.us</a> - ADA Coordinator <a href="mailto:tjohnson@desperes.mo.org">tjohnson@desperes.mo.org</a>
<b>PUBLIC WORKS (636) 227-9000 FAX: (636)207-2333</b> <b><u><a href="mailto:pw@ballwin.mo.us">pw@ballwin.mo.us</a></u></b>	
Gary R. Kramer Jim Link Linda Tate	- City Engineer/Director of Public Works <a href="mailto:gkramer@ballwin.mo.us">gkramer@ballwin.mo.us</a> - Superintendent of Streets <a href="mailto:jlink@ballwin.mo.us">jlink@ballwin.mo.us</a> - Administrative Assistant <a href="mailto:ltate@ballwin.mo.us">ltate@ballwin.mo.us</a>
<b>PARKS AND RECREATION (636) 227-8950 FAX: 636-207-2330</b> <b>The Pointe At Ballwin Commons: <u><a href="mailto:pointe@ballwin.mo.us">pointe@ballwin.mo.us</a></u></b>	
Linda Bruer Hedy Boone John Hoffman John Hawkins Dave Furlong Sara Jane Davis	- Director of Parks and Recreation <a href="mailto:lbruer@ballwin.mo.us">lbruer@ballwin.mo.us</a> - Deputy Director of Recreation (programs) <a href="mailto:hboone@ballwin.mo.us">hboone@ballwin.mo.us</a> - Deputy Director of Parks (facilities) <a href="mailto:jhoffman@ballwin.mo.us">jhoffman@ballwin.mo.us</a> - Golf Course Superintendent <a href="mailto:jhawkins@ballwin.mo.us">jhawkins@ballwin.mo.us</a> - Golf Pro (636) 227-1750 <a href="mailto:golf@ballwin.mo.us">golf@ballwin.mo.us</a> - Clubhouse Manager <a href="mailto:golf@ballwin.mo.us">golf@ballwin.mo.us</a>
<b>POLICE DEPARTMENT (636) 227-9636 FAX: (636) 207-2340</b> <b><u><a href="mailto:Police@ballwin.mo.us">Police@ballwin.mo.us</a></u></b>	
Steve Schicker George Boswell Kevin Scott Kevin Bushery Diana Keller	- Chief of Police <a href="mailto:sschicker@ballwin.mo.us">sschicker@ballwin.mo.us</a> - Captain of Administration / Management <a href="mailto:gboswell@ballwin.mo.us">gboswell@ballwin.mo.us</a> - Captain of Field Operations <a href="mailto:kscott@ballwin.mo.us">kscott@ballwin.mo.us</a> - Lieutenant of Criminal Investigations <a href="mailto:kbushery@ballwin.mo.us">kbushery@ballwin.mo.us</a> - Supervisor of Communications / Records <a href="mailto:dkeller@ballwin.mo.us">dkeller@ballwin.mo.us</a>

## MUNICIPAL COURT

The Ballwin Municipal Court conducts two sessions each month. These are held on the second and fourth Tuesdays at 5:30 p.m. at the Donald “Red” Loehr Police & Court Center at 300 Park Drive. The Court offices are headquartered at the Government Center, which is located at 14811 Manchester Road. All payments and inquiries should be directed to this location.

Municipal Judge: Virginia Nye                                 Prosecuting Attorney: Chris Graville  
Provisional Judge: Donald Anderson                      Public Defender: Nicole Chiravillatti  
Court Clerk: Chris Northcutt (636) 227-9468      cnorthcutt@ballwin.mo.us

## HISTORICAL COMMISSION

Regular meetings of the Commission are held at 2:30 p.m. the second Tuesday of every month at the Schoolhouse at 308 Jefferson Ave.. All meetings are open to the public.

The Commission’s primary function is to promote, educate, and illustrate the history of Ballwin; its exploration, settlement, development and activities.

Chairman:             Mary Ellen Heldman  
Staff Contact:       Linda Bruer (636) 227-2743             lbruer@ballwin.mo.us

## BALLWIN DAYS COMMITTEE

The Ballwin Days Committee meets monthly at the Ballwin Golf Club, located at 333 Holloway Road.

This committee is responsible for the preparation and coordination of the annual “Ballwin Days” festival which is held the first weekend in June.

Co-Chairman:   Darryl Holman                                 (636) 207-2388, Ext. 2  
Co-Chairman:   Jim Lieber   (636) 207-2388, Ext. 3  
Staff Contact:   Linda Bruer   (636) 227-2743             lbruer@ballwin.mo.us  
  www.ballwin.mo.us/ballwindays

## PLANNING & ZONING COMMISSION

Regular meetings of this commission are held at 7:00 p.m. in the Board Room at the Donald “Red” Loehr Police & Court Center on the first Monday of each month. All meetings are open to the public.

This 11-member group is responsible for the review of all proposals for zoning ordinance changes, Special Use Exceptions, subdivisions, site plan reviews and comprehensive planning. A public hearing is held and recommendations on such matters (findings) are then passed on to the Board of Aldermen.

Chairman:                     Mike Wind  
Staff Contact:               Thomas Aiken, City Planner      taiken@ballwin.mo.us  
Recording Secretary:       Linda Belcher                                 (636) 227-8580

## BOARD OF ADJUSTMENT

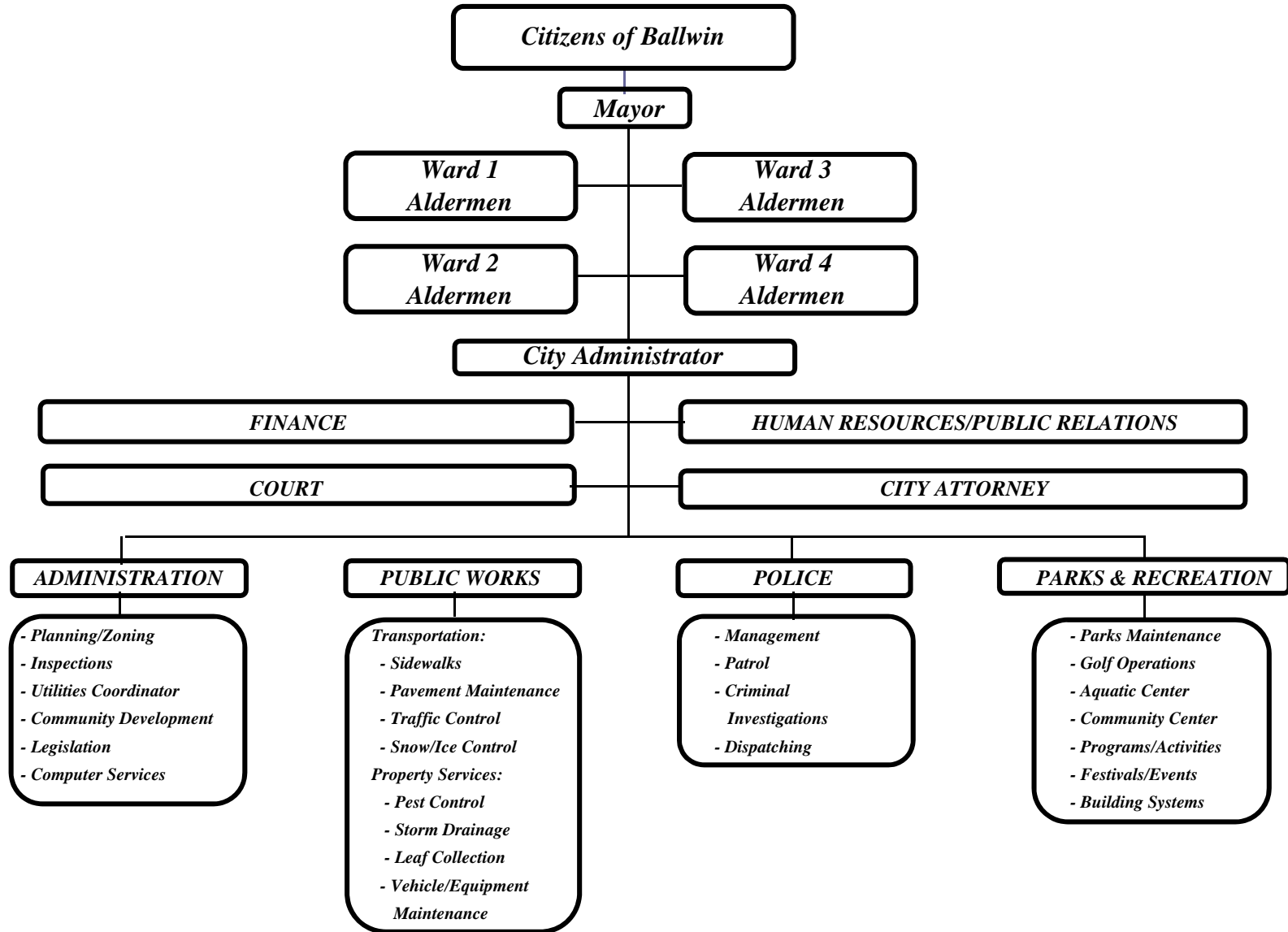
Meetings of the Board of Adjustment are scheduled for 7:00 p.m. on the third Wednesday of each month, subject to petitions, and are held in the Board Room at the Donald “Red” Loehr Police & Court Center.

This 5-member Board is charged with the responsibility of reviewing appeals from private citizens regarding the enforcement of the Zoning Ordinance, Housing Code and Building Code as they apply to unique circumstances of individual properties.

Chairman:                     Lori Willis  
Staff Contact:               Jerry Klein, Code Enforcement Supervisor      jklein@ballwin.mo.us  
Recording Secretary:       Cindy Merz   (636) 227-8580



# CITY OF BALLWIN 2013 ORGANIZATIONAL STRUCTURE



## Combined Statement of Budgeted Revenues and Expenditures - Operating Fund

	2011 Actual	2012 Projected	2013 Budget
<b>Fund Balance January 1</b>	\$10,221,924	\$6,653,674	\$7,786,277
<b>Revenues</b>	\$15,455,481	\$17,506,946	\$17,303,580
<b>Expenditures</b>			
Administration:	(\$2,959,099)	(\$2,780,217)	(\$3,041,307)
Parks:	(\$3,838,050)	(\$4,280,221)	(\$4,734,321)
Police:	(\$4,930,900)	(\$5,171,143)	(\$5,434,755)
Public Works:	(\$4,104,542)	(\$4,192,086)	(\$4,707,621)
<b>TOTAL:</b>	(\$15,832,591)	(\$16,423,667)	(\$17,918,004)
<b>Transfer (To)/From</b>			
<b>Capital Fund</b>	(\$210,677)	\$0	\$0
<b>Debt Service Fund</b>	(\$2,716,063)	\$0	\$0
<b>Other Restricted Funds</b>	(\$206)	\$49,324	\$11,906
	(Inmate Security, Art and Hist Soc Escrs)	(Inmate Security, Art, Hist Soc, Sidewalk and Road Imprvmnt Escrs)	(Inmate Security, Art, Hist Soc and Sidewalk Escrs)
<b>Fund Balance December 31</b>	<b>\$6,917,868</b>	<b>\$7,786,277</b>	<b>\$7,183,759</b>
<b>Less Restricted for</b>			
Prepaid Expenses	(\$254,292)		
Inventory	(\$9,902)		
<b>Unassigned Fund Balance December 31</b>	<b>\$6,653,674</b>	<b>\$7,786,277</b>	<b>\$7,183,759</b>

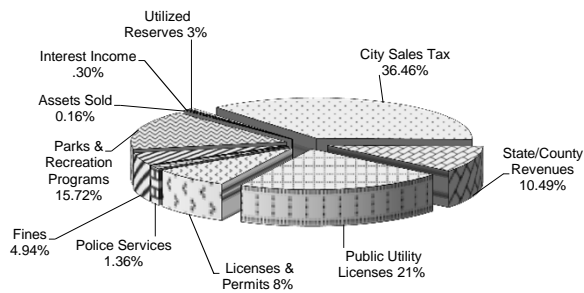
Anticipated Unassigned Fund Balance 12/31/12: 47.41% of 2012 Projected

Anticipated Unassigned Fund Balance 12/31/13: 40.09% of 2013 Projected

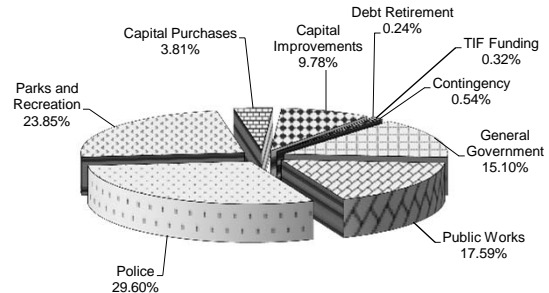
# BALLWIN OPERATING BUDGET

The budget for the fiscal year 2013 totals \$17,918,004 including \$43,578 required for debt service on leased equipment. \$610,060 of unassigned fund balance will be applied to achieve balance in 2013. Capital improvement expenses include a \$1.3 million supplement to street improvements. The charts below illustrate revenues, expenditures and detail capital improvements for the 2013 year.

## Where The Money Comes From



## Where The Money Goes



### Source

City Sales Tax	\$ 6,310,000
State/County Revenues	\$ 1,815,000
Public Utility Licenses	\$ 3,746,700
Licenses & Permits	\$ 1,426,000
Police Services	\$ 235,645
Fines	\$ 855,000
Parks & Recreation Programs	\$ 2,719,955
Assets Sold	\$ 28,000
Interest Income	\$ 53,200
Grants/Donations	\$ 35,825
Other Revenues	\$ 82,619
<b>Total:</b>	<b>\$ 17,307,944</b>

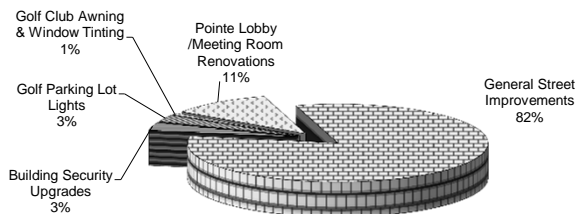
### Revenues

### Functions

General Government	\$ 2,705,494
Public Works	\$ 3,152,632
Police	\$ 5,303,630
Parks and Recreation	\$ 4,273,794
Capital Purchases	\$ 683,225
Capital Improvements	\$ 1,601,523
Debt Retirement	\$ 43,578
TIF Funding	\$ 57,528
Contingency	\$ 96,600
<b>Total:</b>	<b>\$ 17,918,004</b>

### Expenses

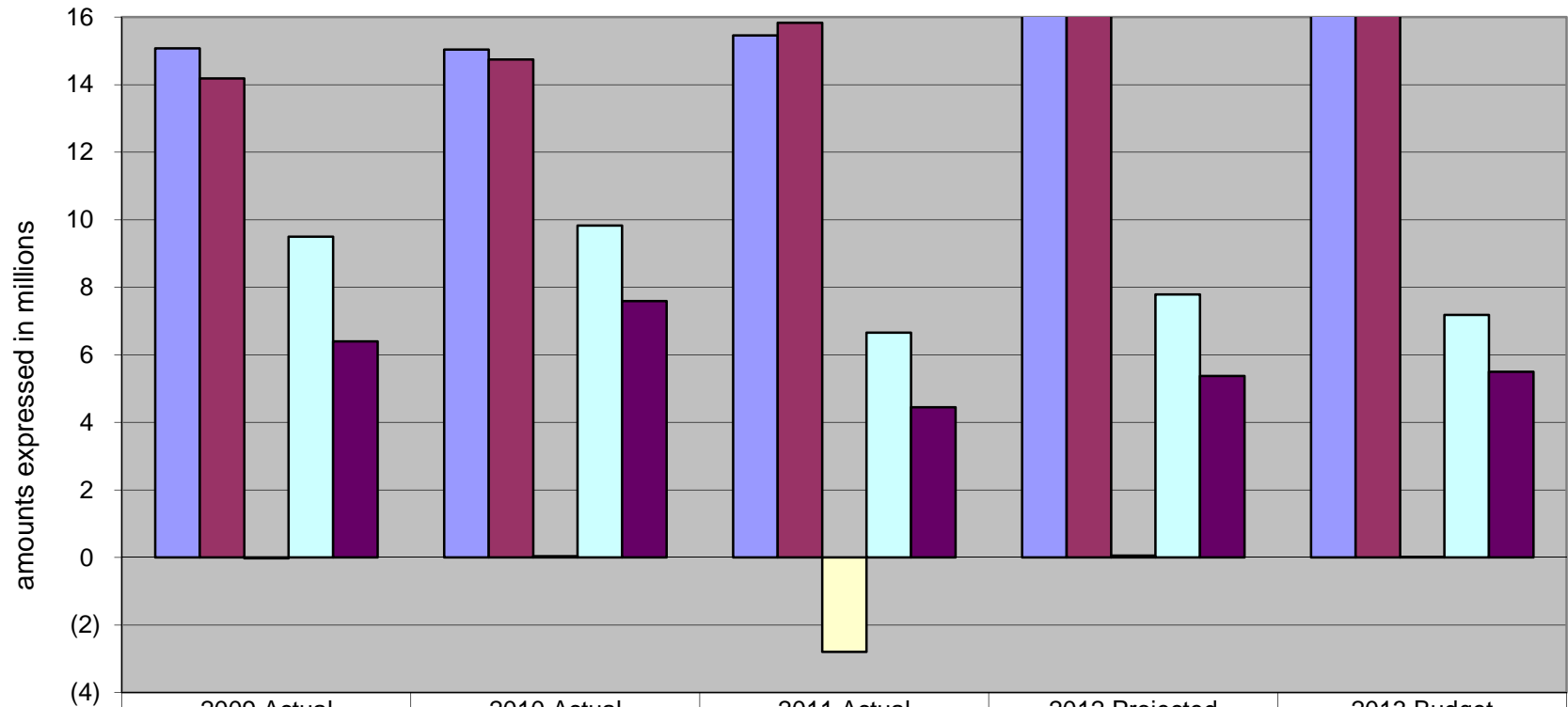
## Capital Improvements



### Type of Improvement

Type of Improvement	Cost
Building Security Upgrades	\$ 52,500
Golf Parking Lot Lights	\$ 46,500
Golf Club Awning & Window Tinting	\$ 10,000
Pointe Lobby /Meeting Room Renova	\$ 175,000
General Street Improvements	\$ 1,317,523
<b>Total:</b>	<b>\$ 1,601,523</b>

# Operating Fund 2009-2013

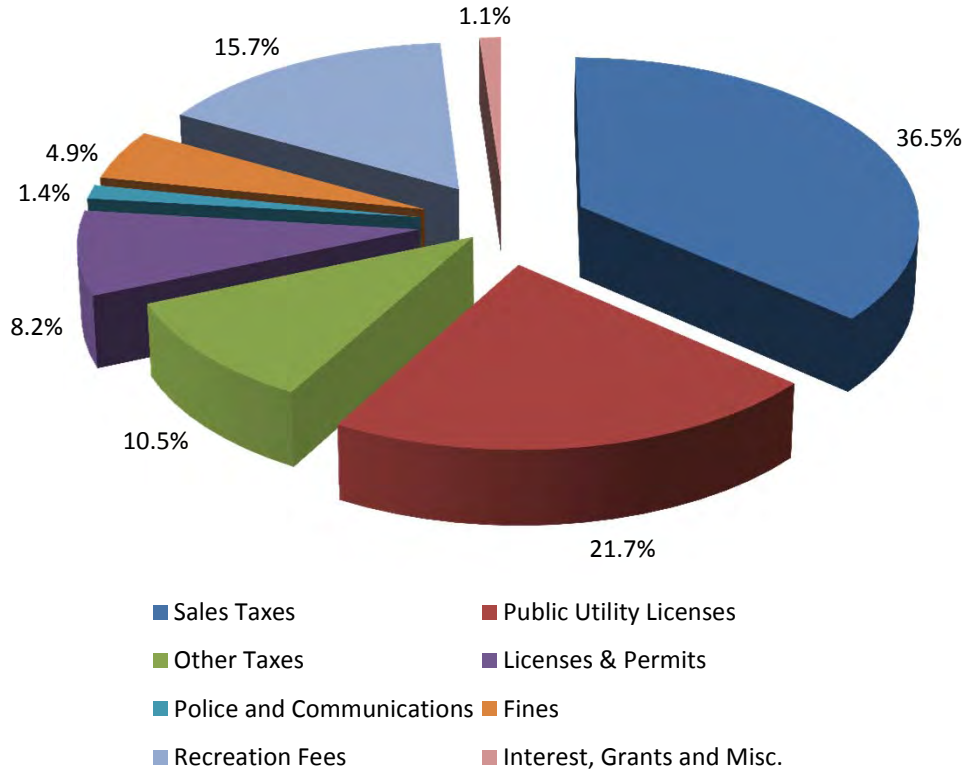


	2009 Actual	2010 Actual	2011 Actual	2012 Projected	2013 Budget
■ Revenues	15,077,344	15,040,093	15,455,481	17,506,946	17,303,580
■ Expenses	14,183,331	14,739,857	15,832,591	16,423,667	17,918,004
□ Transfers	(26,591)	33,874	(2,798,224)	49,324	11,906
□ Fund Balance	9,494,898	9,829,008	6,653,674	7,786,277	7,183,759
■ Cash Reserves	6,396,500	7,590,990	4,442,742	5,376,089	5,500,000

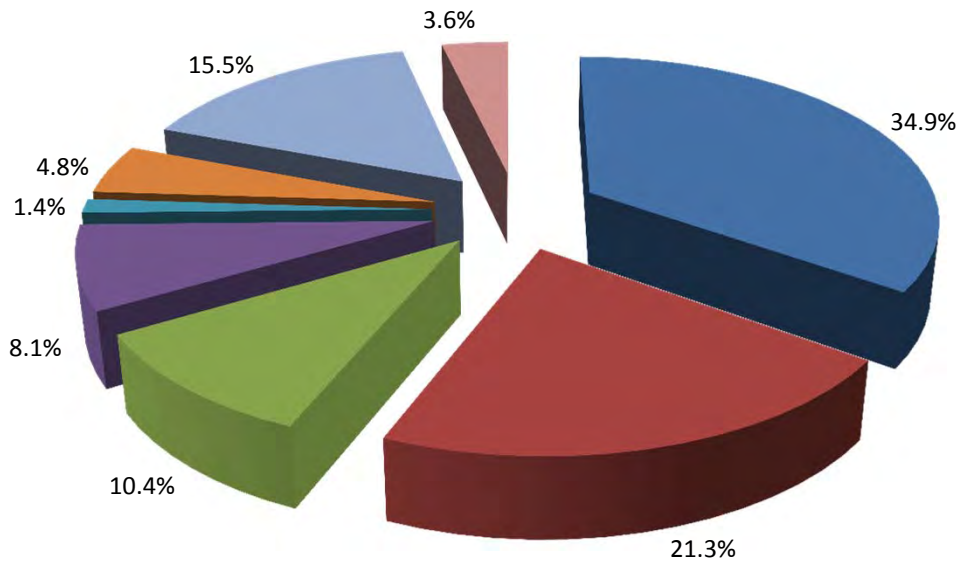
# Operating Budget Anticipated Revenues

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**2013**



**2012**



**City of Ballwin, MO**  
**Operating Budget Revenues**

Description	2011 Actual	2012 Estimated	2013 Projected
<b>Sales and Use Taxes</b>			
Sales tax	4,509,398.24	5,800,000.00	6,060,000.00
Sales tax - TIF 2A	248,544.24	222,000.00	250,000.00
<b>Total: Sales and Use Taxes</b>	<b>\$4,757,942.48</b>	<b>\$6,022,000.00</b>	<b>\$6,310,000.00</b>
<b>Other Taxes</b>			
Sales tax - vehicles	186,790.34	185,000.00	185,000.00
Cigarette tax	87,662.39	86,000.00	85,000.00
Motor vehicle fees	135,546.88	130,000.00	130,000.00
County road tax	611,216.57	614,000.00	615,000.00
Motor fuel tax	96,730.87	775,000.00	800,000.00
<b>Total: Other Taxes</b>	<b>\$1,117,947.05</b>	<b>\$1,790,000.00</b>	<b>\$1,815,000.00</b>
<b>Licenses &amp; Permits</b>			
Cable TV franchise fees	405,782.41	430,000.00	425,000.00
Tower franchise fees	80,736.52	80,000.00	82,000.00
Business Licenses	499,080.14	540,000.00	600,000.00
Contractor Licenses	30,650.00	37,000.00	35,000.00
Liquor Licenses	16,471.00	18,000.00	19,000.00
Electrical Tests	192.00	175.00	150.00
Petition fees	16,815.00	10,000.00	10,000.00
Housing inspections	47,800.00	52,500.00	45,000.00
Apartment inspections	20,790.00	19,000.00	18,000.00
Commercial inspections	3,202.00	3,000.00	2,500.00
Occupancy permits	210.00	375.00	250.00
Building permits	120,078.70	90,000.00	80,000.00
Contracted building permits	1,430.00	625.00	500.00
Mechanical permits	31,715.00	28,200.00	20,000.00
Electrical permits	42,876.40	35,000.00	37,500.00
Demolition permits	700.00	500.00	500.00
Plumbing permits	22,556.75	18,000.00	17,500.00
Sign permits	8,236.12	9,500.00	7,000.00
Fence permits	3,045.00	500.00	3,000.00
Excavation permits - others	5,950.00	7,400.00	6,000.00
Excavation permits - utilities	4,150.00	200.00	1,000.00
Site/grading permits/fees	21,633.54	8,022.00	10,000.00
Reinspection fees	75.00	325.00	100.00
Solicitation permits	4,550.00	10,000.00	5,000.00
Miscellaneous permits	950.00	950.00	1,000.00
<b>Total: Licenses &amp; Permits</b>	<b>\$1,389,675.58</b>	<b>\$1,399,272.00</b>	<b>\$1,426,000.00</b>
<b>Public Utility Licenses</b>			
Gas gross receipts	822,469.71	700,000.00	750,000.00
Water gross receipts	315,359.56	350,000.00	325,000.00
Telephone gross receipts	1,159,381.42	1,100,000.00	1,100,000.00
Electric gross receipts	1,401,067.39	1,460,000.00	1,500,000.00
Protest Telephone gross receipts	43,419.61	47,000.00	45,000.00
TIF util gross receipts - gas	4,290.73	4,300.00	4,200.00
TIF util gross receipts - water	1,253.28	1,250.00	1,500.00
TIF util gross receipts - phone	3,463.82	3,400.00	3,500.00
TIF util gross receipts - electric	15,060.23	15,100.00	17,500.00
<b>Total: Public Utility Licenses</b>	<b>\$3,765,765.75</b>	<b>\$3,681,050.00</b>	<b>\$3,746,700.00</b>

**City of Ballwin, MO  
Operating Budget Revenues**

Description	2011 Actual	2012 Estimated	2013 Projected
<b>Investment Earnings</b>			
Bank interest	1,812.90	200.00	200.00
Investment income	224,631.64	53,000.00	53,000.00
<b>Total: Investment Earnings</b>	<b>\$226,444.54</b>	<b>\$53,200.00</b>	<b>\$53,200.00</b>
<b>Donations</b>			
Donations	0.00	6,000.00	0.00
Sponsorships	4,600.00	3,000.00	3,000.00
Tree donations	615.00	125.00	250.00
Sidewalk contributions	0.00	4,364.00	0.00
<b>Total: Donations</b>	<b>\$5,215.00</b>	<b>\$13,489.00</b>	<b>\$3,250.00</b>
<b>Grants and Entitlements</b>			
Miscellaneous grants	138,031.70	265,032.00	20,575.00
OCDETF/FBI of reimbursement	16,055.31	12,000.00	12,000.00
<b>Total: Grants and Entitlements</b>	<b>\$154,087.01</b>	<b>\$277,032.00</b>	<b>\$32,575.00</b>
<b>Court Fines</b>			
Court fines	736,213.04	825,000.00	850,000.00
<b>Total: Court Fines</b>	<b>\$736,213.04</b>	<b>\$825,000.00</b>	<b>\$850,000.00</b>
<b>Sale of Assets/Property</b>			
Sale of surplus property	206.51	10,500.00	1,000.00
Sale of capital assets	26,794.87	31,700.00	27,000.00
<b>Total: Sale of Assets/Property</b>	<b>\$27,001.38</b>	<b>\$42,200.00</b>	<b>\$28,000.00</b>
<b>False Alarm Fines</b>			
False alarm fines	6,050.00	4,925.00	5,000.00
<b>Total: False Alarm Fines</b>	<b>\$6,050.00</b>	<b>\$4,925.00</b>	<b>\$5,000.00</b>
<b>Police and Communications</b>			
Police reports	4,178.08	3,757.00	3,500.00
Police record checks	2,086.00	2,832.00	2,000.00
Police training fees	13,986.00	14,776.00	14,000.00
Fingerprinting fees	2,055.00	2,122.00	2,300.00
Inmate security funds	13,982.00	14,776.00	14,000.00
Charity fundraiser	6,013.00	5,853.00	7,000.00
Communications - Manchester	143,758.56	144,690.00	142,845.00
Police services - Rockwood	50,329.60	46,513.00	50,000.00
<b>Total: Police and Communications</b>	<b>\$236,388.24</b>	<b>\$235,319.00</b>	<b>\$235,645.00</b>
<b>Community Programs - Recreation</b>			
Pavilion rentals	12,155.00	12,010.00	12,500.00
Ball field rentals	1,150.00	1,450.00	2,400.00
Tennis court reservation fees	145.00	75.00	150.00
Bandwagon rentals	3,025.00	1,350.00	1,350.00
Art commission funds	160.00	0.00	100.00
Historical society funds	5,549.59	3,200.00	3,200.00
<b>Total: Community Programs - Recreation</b>	<b>\$22,184.59</b>	<b>\$18,085.00</b>	<b>\$19,700.00</b>

**City of Ballwin, MO**  
**Operating Budget Revenues**

Description	2011 Actual	2012 Estimated	2013 Projected
Community Programs - Golf Course			
Resident greens fees	127,970.00	130,000.00	130,000.00
Non-resident greens fees	267,654.00	285,000.00	275,000.00
Tournament greens fees	13,028.00	12,500.00	12,500.00
Permanent tee times	1,739.00	1,743.00	1,700.00
Golf carts	112,803.45	117,000.00	120,000.00
Pull carts	4,771.00	5,300.00	5,000.00
Golf carts - tournaments	7,045.00	6,852.00	6,500.00
Junior golf/swim pass - res	1,050.00	1,575.00	1,900.00
Junior golf/swim pass - n/res	2,100.00	2,275.00	2,750.00
Golf programs	2,343.00	3,000.00	3,000.00
Golf course advertising	2,610.50	2,500.00	2,500.00
<b>Total: Community Programs - Golf Course</b>	<b>\$543,113.95</b>	<b>\$567,745.00</b>	<b>\$560,850.00</b>
Community Programs - Pro Shop Snack Bar			
Soda fountain sales	11,419.55	14,000.00	12,500.00
On course soda machine	2,190.00	3,300.00	2,500.00
Beverage sales	40,903.00	39,000.00	37,000.00
Beer sales	24,381.50	23,500.00	24,500.00
Deli sales	5,579.50	6,000.00	6,000.00
Snack sales	2,707.75	3,000.00	3,000.00
Food/beverages - tournaments	435.00	759.00	1,000.00
Misc tournament revenues	449.00	200.00	200.00
Receipts overages/(shortages)	1.00	64.00	0.00
Golf club misc revenues	513.32	200.00	500.00
<b>Total: Community Programs - Pro Shop Snack Bar</b>	<b>\$88,579.62</b>	<b>\$90,023.00</b>	<b>\$87,200.00</b>
Community Programs - Banquet Center			
Rental fees	20,735.40	15,500.00	18,000.00
Preferred catering fees	1,734.34	1,400.00	1,200.00
<b>Total: Community Programs - Banquet Center</b>	<b>\$22,469.74</b>	<b>\$16,900.00</b>	<b>\$19,200.00</b>



**City of Ballwin, MO**  
**Operating Budget Revenues**

Description	2011 Actual	2012 Estimated	2013 Projected
Community Programs - Aquatic Center			
Daily fees - res adult	20,367.00	18,970.00	20,000.00
Daily fees - res child	23,220.00	19,719.00	19,000.00
Daily fees - non res	200,686.00	199,412.00	185,000.00
Pool pass - res indiv	2,909.00	2,731.00	2,800.00
Pool pass - res sing+1	3,342.00	2,592.00	2,000.00
Pool pass - non res sing+1	525.00	554.00	500.00
Pool pass - non res indiv	2,384.00	1,855.00	1,900.00
Pool pass - res snr cpl	463.00	464.00	300.00
Pool pass - non res snr cpl	190.00	532.00	400.00
Pool pass - res family	57,663.00	57,317.00	56,000.00
Pool pass - non res family	23,405.20	22,680.00	22,000.00
Pointe plus pass - res youth	227.73	60.00	60.00
Pointe plus pass - non res youth	180.82	0.00	0.00
Pointe plus pass - res adult	1,172.92	488.00	450.00
Pointe plus pass - non res adult	571.65	583.00	450.00
Pointe plus pass - res snr	227.73	336.00	300.00
Pointe plus pass - non res snr	288.73	590.00	400.00
Pointe plus pass - res snr cpl	1,713.23	1,954.00	1,500.00
Pointe plus pass - non res snr cpl	1,068.75	532.00	600.00
Pointe plus pass - res sing+1	720.15	883.00	750.00
Pointe plus pass - non res sing+1	1,225.68	1,287.00	1,200.00
Pointe plus pass - res family	31,809.79	27,729.00	28,000.00
Pointe plus pass - non res family	15,377.40	16,837.00	15,500.00
Pointe plus pass - business	1,367.60	796.00	650.00
Junior golf/swim pass - res	300.00	821.00	500.00
Junior golf/swim pass - non res	1,200.00	1,575.00	1,300.00
Wildwood swim passes	6,525.00	6,525.00	6,525.00
Swim lessons	6,153.00	7,970.00	6,500.00
Aqua fitness	1,266.00	1,279.00	1,400.00
Pool programs	5,695.00	5,696.00	8,000.00
Swim team	13,795.00	19,804.00	18,000.00
Concessions	134,384.75	135,475.00	135,000.00
Rental fees	2,785.00	6,663.00	5,000.00
Party rental fees	12,975.00	12,167.00	11,500.00
Items for resale	239.03	169.00	150.00
Receipts overage/(shortage)	(37.00)	(22.00)	0.00
<b>Total: Community Programs - Aquatic Center</b>	<b>\$576,387.16</b>	<b>\$577,023.00</b>	<b>\$553,635.00</b>

**City of Ballwin, MO**  
**Operating Budget Revenues**

Description	2011 Actual	2012 Estimated	2013 Projected
<b>Community Programs - Community Center</b>			
Daily fees - res	23,945.00	23,000.00	24,000.00
Daily fees - non res	74,484.00	70,000.00	70,000.00
Punch card - res	22,321.00	18,000.00	18,000.00
Punch card - non res	29,990.00	23,000.00	23,000.00
Pass - res	444,433.16	400,000.00	415,000.00
Pass - non res	176,332.43	150,000.00	160,000.00
Pointe plus pass - res youth	339.85	500.00	500.00
Pointe plus pass - non res youth	228.02	500.00	500.00
Pointe plus pass - res adult	2,563.90	1,500.00	1,500.00
Pointe plus pass - non res adult	1,371.51	1,750.00	1,750.00
Pointe plus pass - res snr	526.37	850.00	850.00
Pointe plus pass - non res snr	640.44	750.00	750.00
Pointe plus pass - res snr cpl	4,030.73	5,000.00	5,000.00
Pointe plus pass - non res snr cpl	2,493.75	2,000.00	2,000.00
Pointe plus pass - res sing+1	2,575.47	2,200.00	2,500.00
Pointe plus pass - non res sing+1	3,764.90	2,500.00	2,500.00
Pointe plus pass - res family	80,777.96	70,000.00	75,000.00
Pointe plus pass - non res family	36,826.84	40,000.00	42,000.00
Pointe plus pass - business	3,406.30	2,500.00	2,500.00
Corporate memberships	4,656.15	5,500.00	5,500.00
Swim lessons	50,959.02	54,000.00	50,000.00
Lifeguard cert fees	7,115.00	13,375.00	7,500.00
Aqua fitness	48,902.25	40,000.00	40,000.00
Indoor pool special programs	1,495.00	500.00	700.00
Program fees - res	49,821.77	45,000.00	60,000.00
Program fees - snr	3,186.00	2,500.00	4,000.00
Program fees - non res	49,152.80	50,000.00	58,000.00
Babysitting	13,217.21	13,000.00	13,000.00
Summer camp fees - res	106,896.00	110,474.00	110,000.00
Summer camp fees - non res	50,445.00	63,824.00	64,000.00
Summer camp fees - non res member	0.00	(39.00)	0.00
Personal trainer	33,568.75	19,000.00	30,000.00
Spinning class fees	21,406.00	19,000.00	20,000.00
Concessions	0.00	1,050.00	1,200.00
Soda machine revenue	13,721.00	13,000.00	13,000.00
Vending machine revenue	2,089.83	2,000.00	2,000.00
Rental fees	42,900.00	38,000.00	40,000.00
Birthday parties - res	9,222.00	6,000.00	6,000.00
Birthday parties - non res	9,875.00	10,000.00	11,000.00
Lock-ins	8,312.00	5,500.00	9,000.00
ID fees	11,968.00	12,000.00	12,000.00
Items for resale	30.11	50.00	100.00
Receipts overage/(shortage)	(89.33)	10.00	10.00
Miscellaneous	645.05	20.00	10.00
<b>Total: Community Programs - Community Center</b>	<b>\$1,450,546.24</b>	<b>\$1,337,814.00</b>	<b>\$1,404,370.00</b>

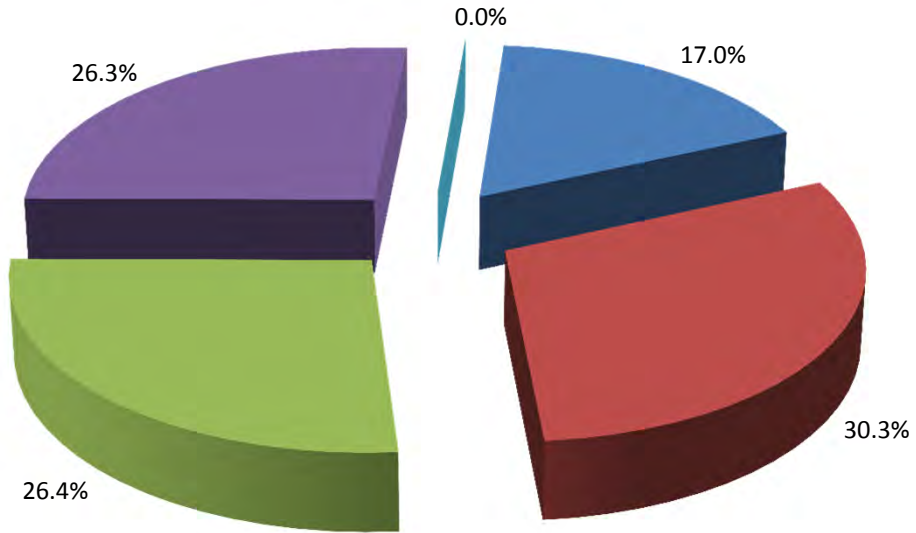
**City of Ballwin, MO**  
**Operating Budget Revenues**

Description	2011 Actual	2012 Estimated	2013 Projected
Community Programs - Ballwin Days			
Ballwin Days	64,982.71	77,492.00	75,000.00
<b>Total: Community Programs - Ballwin Days</b>	<b>\$64,982.71</b>	<b>\$77,492.00</b>	<b>\$75,000.00</b>
Miscellaneous Revenues			
Zoning maps/books	22.50	5.00	25.00
Sewer lateral admin fees	32,367.84	33,385.00	33,385.00
Insurance/damage reimbursement	51,181.22	15,287.00	3,500.00
Rent income	20,575.00	20,800.00	20,700.00
History book sales/royalties	165.78	77.00	50.00
Admin service charges	8,976.70	9,300.00	9,100.00
Bond processing fees	1,810.00	500.00	1,600.00
Notary service	88.00	1,125.00	95.00
Previous year collections	13,713.94	111,786.00	1,000.00
IT services	233.10	0.00	100.00
Miscellaneous	132,210.11	7,500.00	7,500.00
Soda machine revenue	3,142.31	1,000.00	1,200.00
<b>Total: Miscellaneous Revenues</b>	<b>\$264,486.50</b>	<b>\$200,765.00</b>	<b>\$78,255.00</b>
Transfers In			
Transfers in	0.00	50,396.00	4,364.00
<b>Total: Transfers In</b>	<b>\$0.00</b>	<b>\$50,396.00</b>	<b>\$4,364.00</b>
Other Proceeds			
Reinke Rd Reimbursement	0.00	39,089.00	0.00
<b>Total: Other Proceeds</b>	<b>\$0.00</b>	<b>\$39,089.00</b>	<b>\$0.00</b>
<b>Revenues Total</b>	<b>\$15,455,480.58</b>	<b>\$17,318,819.00</b>	<b>\$17,307,944.00</b>

# Operating Budget Spending Plan

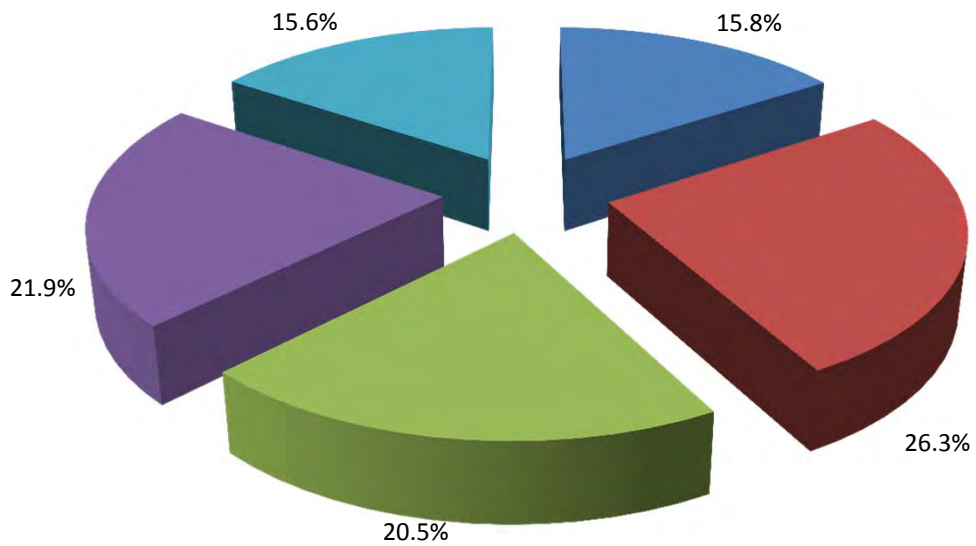
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**2013**



- Administration
- Parks and Recreation
- Transfers Out
- Police
- Public Works

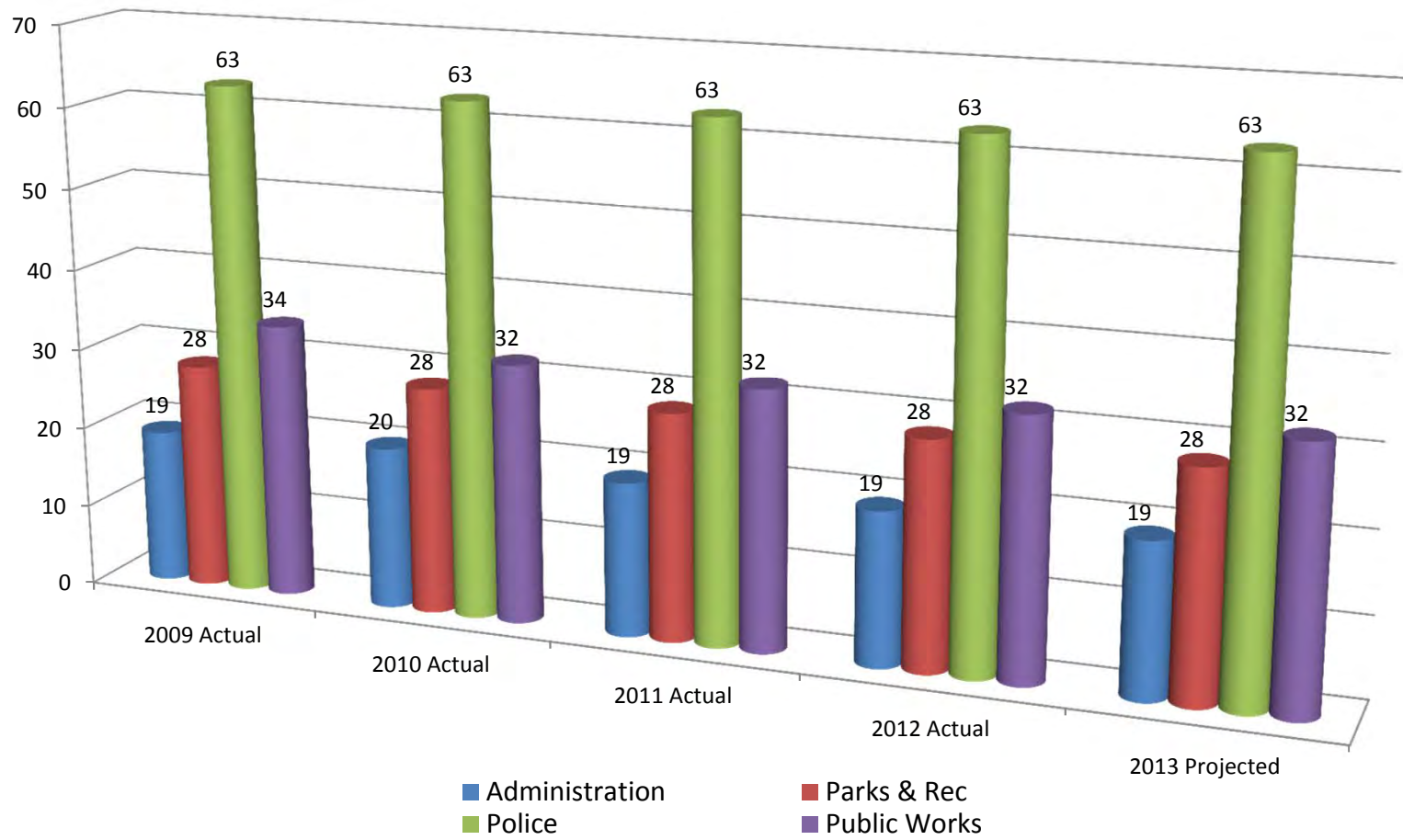
**2012**



**City of Ballwin, MO**  
**Operating Budget Expenditures**

Description	2011 Actual	2012 Estimated	2013 Projected
<b>Personnel Costs</b>			
Wages and Salaries	7,823,760.68	8,074,511.00	8,328,975.00
Benefits	2,442,174.96	2,639,899.00	2,886,795.00
<b>Personnel Costs Total</b>	<u>10,265,935.64</u>	<u>10,714,410.00</u>	<u>11,215,770.00</u>
<b>Operating Costs</b>			
Travel & Training	27,933.57	27,949.00	59,950.00
Utilities	398,287.55	417,796.00	433,018.00
Communications	73,435.81	82,195.00	71,883.00
Rentals	10,025.87	11,642.00	12,825.00
Public Relations - Internal	1,985.22	3,053.00	8,100.00
Public Relations - External	20,297.37	23,896.00	24,425.00
Insurance	220,781.80	237,549.00	262,298.00
Advertising	20,464.42	24,001.00	25,100.00
Repairs & Maintenance	255,797.11	223,340.00	442,704.00
General Supplies	393,270.50	408,882.00	435,205.00
Dues & Subscriptions	24,221.85	25,579.00	27,170.00
Vehicle Expenses	324,229.30	377,676.00	385,119.00
Maintenance Materials	505,739.45	429,080.00	515,269.00
Contractual	2,206,516.10	1,638,370.00	1,449,283.00
Other Operating Expenses	54,742.07	61,666.00	67,431.00
Contingency	9,629.96	28,950.00	96,600.00
<b>Operating Costs Total</b>	<u>4,547,357.95</u>	<u>4,021,624.00</u>	<u>4,316,380.00</u>
<b>Capital Costs</b>			
Computer Hardware/Software	391,142.03	165,209.00	202,701.00
Equipment, Furniture & Vehicles	338,000.54	447,228.00	480,524.00
Land & Facility Improvements	140,399.72	216,887.00	284,000.00
Streets	0.00	679,144.00	1,317,523.00
Other Capital Expenses	0.00	14,350.00	0.00
<b>Capital Costs Total</b>	<u>869,542.29</u>	<u>1,522,818.00</u>	<u>2,284,748.00</u>
<b>Debt Service</b>	78,460.25	95,920.00	43,578.00
<b>TIF Fees &amp; Reimbursements</b>	71,293.39	68,895.00	57,528.00
<b>Transfers Out</b>	2,926,740.13	0.00	0.00
<b>Grand Total</b>	<u><u>18,759,329.65</u></u>	<u><u>16,423,667.00</u></u>	<u><u>17,918,004.00</u></u>

## Number of Full Time Employees



## **CAPITAL BUDGET HISTORY**

The Capital Budget was established in 1998 to segregate capital improvement items that were financed primarily by a ½ cent Capital Improvement Tax adopted by voters in 1996. Other financing sources for this budget have included intergovernmental revenues such as the Motor Fuel Tax, grants, as well as proceeds from the issuance of certificates of participation (C.O.P.S.) for an aquatic center construction. A ½ cent Park Sales Tax was adopted by voters in 2001 to fund debt for this project.

Expenditures included in the Capital Budget have been primarily land and building improvements, capital equipment items costing \$5,000 or more, and bond debt payments.

The Capital Budget transitioned, in 2010, to allow capital equipment to be purchased from the Operating Budget because said equipment is used for city operations. A Capital Improvement Plan (C.I.P.) is now incorporated into the budget in lieu of a capital budget. Separating major capital acquisitions and improvements from operating activities, including the equipment necessary to carry out those activities, provides less distorted and more comprehensive financial reporting for the City, as well as deterring transfers between the two funds. A separate Bond Debt Service Fund is funded by transfers of tax revenues from the C.I.P. if debt exists.

The 5-year Capital Improvement Plan not only provides expenditures and the revenue sources to fund those expenditures for the upcoming fiscal year, but reflects insight as to proposed projects for the following four years.

## **CAPITAL IMPROVEMENT PLAN**

Capital improvements are physical improvements to public infrastructure that include storm water systems, bridges, parks, and recreational and other government facilities.

A Five-Year Capital Plan allows the City to proactively plan future capital needs. The first year of the plan reflects projects included in the current fiscal year budget. The remaining four years represent a projected schedule and estimate of future capital needs with projected offsetting revenue. This projected schedule of expenditures is listed by year and is updated annually with the adoption of each year's budget.

Items included in the Capital Improvement Plan include land/building acquisition, major land/building improvements (\$250,000 and over), systems reconstruction/replacement (\$250,000 and over). Also included in the CIP is major street reconstruction projects offset by federal grants.

Street improvements (other than the major projects previously referred to) are not included in the CIP, but are included in the City's Operating Budget to allow flexibility for changing the scope of the improvements as needed. Minor construction/renovation projects, as well as capital equipment less than \$250,000, will be included in the Operating Budget.

The CIP lists each project/need under a Project Title, with a Project Description (location, scope of work), a Project Justification (why needed). Justification generally states reasons such as: (a) enhance efficiency; (b) public safety; (c) improve public infrastructure; (d) provide higher quality service; (e) availability of grants. The funding method for each project/need is also stated (ex: sales tax, grant).

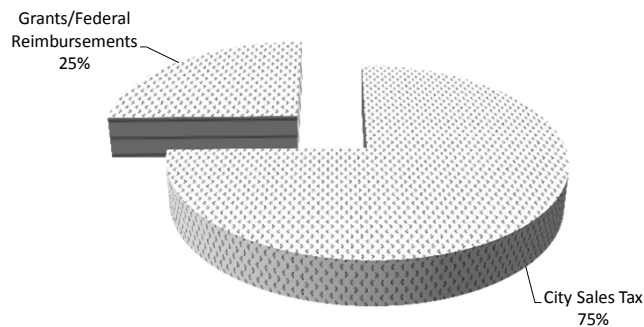
The Capital Improvement Plan is presented to the Planning and Zoning Commission before being presented to the Board of Aldermen for approval.



# BALLWIN CAPITAL BUDGET

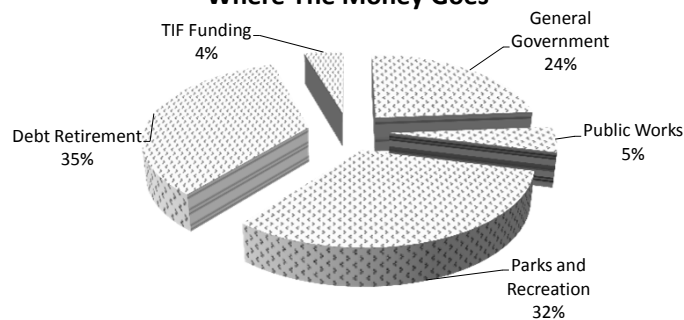
The 2013 Capital Improvement Plan includes only true capital projects and transfers out for debt payments. Revenues of \$1,460,000 come primarily from Capital Improvement and Park sales taxes while expenses of \$1,456,145 finance major land/building improvements (over \$250,000) and major street reconstruction projects which are offset by grant funding. \$506,500 required for debt service will be used to make the final payment against the C.O.P.S. issuance leaving the City debt free at the end of 2013. The charts below illustrate revenues and expenditures for the 2013 year.

**Where The Money Comes From**



<u>Source</u>	<u>Revenues</u>
City Sales Tax	\$ 1,090,000
Grants/Federal Reimbursements	\$ 370,000
<b>Total:</b>	<b>\$ 1,460,000</b>

**Where The Money Goes**



<u>Functions</u>	<u>Expenses</u>
General Government	\$ 345,305
Public Works	\$ 76,000
Parks and Recreation	\$ 462,500
Debt Retirement	\$ 506,500
TIF Funding	\$ 65,840
<b>Total:</b>	<b>\$ 1,456,145</b>

**COMBINED STATEMENT OF BUDGETED  
REVENUES and EXPENDITURES  
CAPITAL IMPROVEMENT PLAN**

For the Fiscal Year Ending December 31, 2013

	2011 Actual	2012 Projected	2013 Proposed
<b>Fund Balance, January 1</b>	<b>\$ 580,958</b>	<b>\$ 417,033</b>	<b>\$187,309</b>
<b>Revenues</b>			
Capital Improvement Sales Tax	\$ 990,021	\$ 500,000	\$ 300,000
Capital Improvement TIF Sales Tax	103,453	91,000	91,000
Park Sales Tax	768,364	135,500	565,000
Park TIF Sales Tax	139,026	125,000	134,000
Motor Fuel	748,138	0	0
Park Fund Donations	3,000	0	0
Recreation Contribution	43,105	29,085	0
Sidewalk Contributions	0	0	0
Misc Project Reimbursement	19,726	858,513	0
Misc Parks Grants	0	208,810	314,000
Stormwater Grants	0	0	56,000
Reinke Road Reimbursement	0	39,089	0
Balance Sheet Escrows Account Interest	130	0	0
<b>Total Capital Revenues</b>	<b>\$ 2,814,963</b>	<b>\$ 1,986,997</b>	<b>\$ 1,460,000</b>
<b>Expenditures</b>			
Administration Department	\$ 29,871	\$65,060	\$367,290
Parks & Recreation Department	332,374	75,000	506,355
Police Department	8,302	0	0
Public Works Department	1,094,679	1,073,141	76,000
<b>Total Capital Expenditures</b>	<b>\$ 1,465,226</b>	<b>\$ 1,213,201</b>	<b>\$ 949,645</b>
<b>Revenues Over Expenditures</b>	<b>\$ 1,349,737</b>	<b>\$ 773,796</b>	<b>\$ 510,355</b>
<b>Other Financing Sources (Uses)</b>	<b>\$ (1,513,662)</b>	<b>\$ (1,003,520)</b>	<b>\$ (506,500)</b>
<b>Fund Balance, December 31</b>	<b>\$ 417,033</b>	<b>\$ 187,309</b>	<b>\$ 191,164</b>

**2013 REVENUE SUMMARY  
2013-2017 CAPITAL IMPROVEMENT PLAN**

	2013	2014	2015	2016	2017
<b>Revenues</b>					
Capital Improvement Sales Tax	\$ 300,000	\$ 1,050,000	\$ -	\$ -	\$ -
Capital Improvement TIF Sales Tax	\$ 91,000	\$ 90,000	\$ 26,000	\$ 28,000	\$ 30,000
Park Sales Tax	\$ 565,000	\$ 142,000	\$ 879,000	\$ 451,000	\$ 452,000
Park TIF Sales Tax	\$ 134,000	\$ 134,000	\$ 134,000	\$ 134,000	\$ 50,000
Miscellaneous Project Reimbursement	\$ -	\$ -	\$ -	\$ -	\$ -
Miscellaneous Park Grants	\$ 314,000	\$ 300,000	\$ 210,000	\$ 315,000	\$ -
Miscellaneous Public Works Grants	\$ 56,000				
Fund Balance Application		\$ 390,000			
<b>Revenue Totals:</b>	<b>\$ 1,460,000</b>	<b>\$ 2,106,000</b>	<b>\$ 1,249,000</b>	<b>\$ 928,000</b>	<b>\$ 532,000</b>

**2013 EXPENDITURE SUMMARY  
2013-2017 CAPITAL IMPROVEMENT PLAN**

<b>Project By Department</b>	<b>2013</b>	<b>2014</b>	<b>2015</b>	<b>2016</b>	<b>2017</b>
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**Administration**

Boardroom Remodel	\$ 345,305				
Gov't Center Remodel		\$ 1,300,000			
TIF Municipal Revenue Funding	\$ 21,985	\$ 24,000	\$ 26,000	\$ 28,000	\$ 30,000
<b>Totals:</b>	<b>\$ 367,290</b>	<b>\$ 1,324,000</b>	<b>\$ 26,000</b>	<b>\$ 28,000</b>	<b>\$ 30,000</b>

**Parks & Recreation**

Ferris Park Redevelopment - Phase 1	\$ 398,500				
Ferris Park Design/Construction docs	\$ 64,000				
Ferris Park Redevelopment - Phase 2		\$ 380,000			
Ferris Park Redevelopment - Phase 3			\$ 275,000		
Ferris Park Redevelopment - Phase 4				\$ 400,000	
Pointe HVAC		\$ 150,000	\$ 150,000	\$ 150,000	\$ 150,000
Golf Course Irrigation System			\$ 750,000		
New Ballwin Park Playground Reno				\$ 300,000	
Holloway Park Playground Reno					\$ 300,000
TIF Municipal Revenue Funding	\$ 43,855	\$ 46,000	\$ 48,000	\$ 50,000	\$ 52,000
<b>Totals:</b>	<b>\$ 506,355</b>	<b>\$ 576,000</b>	<b>\$ 1,223,000</b>	<b>\$ 900,000</b>	<b>\$ 502,000</b>

**Public Works**

Henry Ave. Federal Funding Fee	\$ 6,000				
Holloway Culvert - Engineering	\$ 70,000				
Holloway Culvert - Construction		\$ 612,000			
<b>Totals:</b>	<b>\$ 76,000</b>	<b>\$ 612,000</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>

**Expense Totals: \$ 949,645 \$ 2,512,000 \$ 1,249,000 \$ 928,000 \$ 532,000**

**Transfers Out**

Transfers Out for C.O.P.S. Debt	\$ 506,500				
<b>Totals:</b>	<b>\$ 506,500</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>

**Grand Totals: \$ 1,456,145 \$ 2,512,000 \$ 1,249,000 \$ 928,000 \$ 532,000**

**CITY OF BALLWIN  
BOND INDEBTEDNESS  
2013**

**CERTIFICATE OF PARTICIPATION  
2013**

North Pointe Aquatic Center			
Original Issue - 8/1/02; original debt retirement - 9/1/17	\$	8,210,000.00	
Payments thru 12/31/12:	\$	(4,380,000.00)	
Partial defeasance 2014-2017	\$	(3,230,000.00)	
<b>Total C.O.P.S. Debt 12/31/12:</b>	<b>\$</b>	<b>600,000.00</b>	
Payments Due in 2013:			
3/1/13 Interest:	\$	12,000.00	
9/1/13 Interest/Principal:	\$	12,000.00	\$ 600,000.00
<b>Total C.O.P.S. Reduction Requirements for 2013:</b>	<b>\$</b>	<b>624,000.00</b>	
<b>Total C.O.P.S. Indebtedness 12/31/13:</b>	<b>\$</b>	<b>-</b>	

**TAX INCREMENT REFUNDING & IMPROVEMENT REVENUE BONDS  
2013**

Olde Towne Plaza			
Original Issue - 6/1/02; debt retirement - 10/1/22	\$	20,100,000.00	
Payments thru 12/31/12:	\$	(5,495,000.00)	
Redemptions	\$	(305,000.00)	
<b>Total TIF Debt 12/31/12:</b>	<b>\$</b>	<b>14,300,000.00</b>	
Payments Due in 2013:			
04/1/13 Interest:	\$	433,678.00	
10/1/13 Interest/Principal:	\$	433,678.00	\$ 750,000.00
<b>Total TIF Reduction Requirements for 2013:</b>	<b>\$</b>	<b>1,617,356.00</b>	
<b>Total TIF Indebtedness 12/31/13:</b>	<b>\$</b>	<b>13,550,000.00</b>	

<b>TOTAL CITY DEBT REQUIREMENTS FOR 2013 (excluding TIF):</b>	<b>\$</b>	<b>624,000.00</b>
<b>TOTAL CITY DEBT REQUIREMENTS FOR 2013 (including TIF):</b>	<b>\$</b>	<b>2,241,356.00</b>

**CAPITAL LEASES**

**2013**

					<b>2013</b>	
<b>PURCHASE</b>	<b>DATE OF LEASE</b>	<b>LEASE AMOUNT</b>	<b>PAYMENTS THRU 2012</b>	<b>2013 INT PAYMENT</b>	<b>PRINCIPAL PAYMENT</b>	<b>REMAINING DEBT</b>
Fitness Equipment	4/1/12	\$ 125,690.00	\$ (42,790.99)	\$ (1,782.33)	\$ (41,008.66)	\$ 41,890.35
<b>Total Capital Lease Debt 12/31/12:</b>		<b>\$ 82,899</b>				
<b>Total Capital Lease Reduction Requirements for 2013:</b>					<b>\$ (41,008.66)</b>	
<b>Total Capital Lease Indebtedness 12/31/13:</b>						<b>\$ 41,890.35</b>

# Bond Debt Schedule

## Certificates of Participation (2002 issue)

<u>FYE 12/31</u>	<u>Principal</u>	<u>Interest</u>
2013	\$ 600,000	\$ 24,000
<b>Totals:</b>	<b>\$ 600,000</b>	<b>\$ 24,000</b>

## Special Revenue Bonds (2002 TIF 2-A issue)

<u>FYE 12/31</u>	<u>Principal</u>	<u>Interest</u>
2013	\$ 750,000	\$ 867,356
2014	\$ 850,000	\$ 822,356
2015	\$ 930,000	\$ 771,356
2016	\$ 1,195,000	\$ 715,556
2017	\$ 1,305,000	\$ 640,869
2018	\$ 1,420,000	\$ 559,306
2019	\$ 1,550,000	\$ 474,019
2020	\$ 1,715,000	\$ 380,969
2021	\$ 1,860,000	\$ 278,019
2022	\$ 2,725,000	\$ 166,331
	<b>\$ 14,300,000</b>	<b>\$ 5,676,137</b>

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## City of Ballwin C.O.P.S. Debt - 2002

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The City of Ballwin issued \$8,210,000 in Certificate of Participation debt in 2002 to finance construction of the North Pointe Aquatic Center. Voters had approved a ½ cent Park Sales Tax in 2001 to pay this debt. This issue initially was to be paid in full in 2017, but a defeasance of years 2014-2017 was paid in September 2011, so this debt issue will be paid off in 2013.



**COMBINED STATEMENT OF BUDGETED  
REVENUES and EXPENDITURES  
DEBT SERVICE – 2002 C.O.P.S. FUND**

For the Fiscal Year Ending December 31, 2013

	2011 Actual	2012 Actual	2013 Budget
<b>Fund Balance, January 1</b>	\$ -0-	\$ -0-	\$ -0-
<b>Revenues</b>	-0-	-0-	-0-
<b>Expenditures</b>			
Parks – annual payment	\$ 735,641	\$ 621,425	\$624,000
Partial defeasance 2014-2017	<u>2,655,000</u>	<u>0</u>	<u>0</u>
<b>Total Expenditures</b>	<b>\$3,390,641</b>	<b>\$ 621,425</b>	<b>\$624,000</b>
<b>Revenues Over Expenditures</b>	<b>(\$3,390,641)</b>	<b>(\$621,425)</b>	<b>(\$624,000)</b>
<b>Other Financing Sources (Uses)</b>			
Transfers in – Parks Fund	\$3,390,559	\$ -0-	\$506,500
Transfers in – debt reserves	<u>82</u>	<u>621,425</u>	<u>117,500</u>
<b>Total Other Financing Sources</b>	<b>\$3,390,641</b>	<b>\$ 621,425</b>	<b>\$624,000</b>
<b>Fund Balance, December 31</b>	\$ -0-	\$ -0-	\$ -0-

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## City of Ballwin 2002 TIF 2-A Bonds

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The City of Ballwin approved \$20,100,000 in Tax Increment Financing (TIF) Bonds, in 2002, to help construct the Olde Towne retail center and construct a connector road that flows north and south of Manchester Road to assist in traffic flow. TIF allows taxes generated in the district to be captured to pay this bond debt. Only the top half of city sales and utility taxes generated in the district are captured. The City's only other contribution to this debt payment is a cap of \$250,000 per year from the bottom half of tax revenues received from the district. This issue will be paid in full in 2022.

**COMBINED STATEMENT OF BUDGETED  
REVENUES and EXPENDITURES  
OLD TOWNE TIF FUND**

For the Fiscal Year Ending December 31, 2013

	2011 Actual	2012 Projected	2013 Budget
<b>Fund Balance, January 1</b>	\$ -0-	-0-	-0-
<b>Revenues</b>			
Economic Activity Taxes (EATs)	\$ 657,833	\$ 599,000	\$ 610,000
Payments in Lieu of Taxes (PILOTS)	\$ 34,735	\$ 336,000	\$ 338,000
Special Allocation Account Interest	<u>\$ 148</u>	<u>\$ 142</u>	<u>\$ 150</u>
<b>Total Revenues</b>	<b>\$ 692,716</b>	<b>\$ 935,142</b>	<b>\$ 948,150</b>
<b>Expenditures</b>	<b>-0-</b>	<b>-0-</b>	<b>-0-</b>
<b>Revenues Over Expenditures</b>	<b>\$ 692,716</b>	<b>\$ 935,142</b>	<b>948,150</b>
<b>Other Financing Sources (Uses)</b>			
Transfers in (TDD Revenues-top half)	\$ 63,845	\$ 63,750	\$ 66,000
Transfers in (Municipal Revenues)	\$ 152,058	\$ 141,715	\$ 123,367
Transfers out for TIF 2-A Bond Payments	<u>(\$ 908,619)</u>	<u>(\$1,140,607)</u>	<u>(\$1,137,517)</u>
<b>Total Other Financing Sources</b>	<b>(\$ 692,716)</b>	<b>(\$ 935,142)</b>	<b>(\$ 948,150)</b>
<b>Fund Balance, December 31</b>	<b>-0-</b>	<b>-0-</b>	<b>-0-</b>

## TAX INCREMENT FINANCING OVERVIEW OLDE TOWNE PLAZA

The City of Ballwin has one active Tax Increment Financing (TIF) District – Olde Towne Plaza which opened in 2001-2002.

A Redevelopment Plan was adopted in 1999 to establish a retail center with sixteen (16) units available for commercial occupancy on the south side of Manchester Road in downtown Ballwin. Zelman Retail Partners, Inc. submitted a redevelopment proposal for Redevelopment Project 2-A on July 14, 1999. \$13,665,000 of the project's costs was authorized to be covered by TIF bonds. The development agreement was transferred to Regency/DS Ballwin LLC, in June, 2000, and subsequently sold to DLC Management Corporation of Tarrytown, New York, in December, 2002. The development was again sold in April, 2006, to The Bedrin Organization of New Jersey.

The retail center was 100% occupied until November, 2005. Five businesses have closed since that date, and two new businesses have opened. Big Lots opened in November, 2011, replacing the space previously held by Ultimate Electronics.

The following is a list of Olde Towne occupants:

Shoe Carnival	Opening 3/13
Big Lots	Opened 11/4/11
Check Into Cash	Opened 5/16/05
Clarkson Eyecare	Opened 3/1/04
Fed Ex Kinko's	Opened 9/1/02
HomeGoods	Opened 3/24/02
Lowe's Home Improvement	Opened 3/8/02
Marshall's	Opened 4/18/02
McAlister's Deli	Opened 8/8/02
Senor Pique's	Opened 2/08
Sports Clips	Opened 8/2/02
Starbuck's	Opened 8/1/02
SteinMart	Opened 10/15/01

The plaza has 265,245 square feet of retail space. The tenant mix is 10% service and 90% retail. The sales tax rate, which includes a \$.25 Transportation Development District (TDD) tax, is 8.175%. This tax is broken down as follows:

State taxes	4.225%
St. Louis County taxes	2.700%
Ballwin Sales taxes	1.000%
Transportation Development District tax	<u>0.250%</u>
Total:	8.175%

The TIF and TDD bond financing was provided by Wells Fargo Advisors. The bond trustee is Commerce Bank of Kansas City. Since its inception, all financial obligations have been met. Based on historical data and economic forecasts, it is expected that this trend will continue in 2013.

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## Ballwin Town Center TDD

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The Olde Towne Transportation Development District (TDD) was formed in 2001 to impose a one-quarter percent (.25%) sales tax on all retail sales from businesses located within the District. This sales tax was imposed to assist in financing the construction of a connector road north and south of the retail area in the District that was designed to help alleviate traffic congestion on Manchester Road. The District has a board comprising five (5) members that usually meet annually.

The sales tax is collected by the State of Missouri, forwarded to the City and passed through to the TIF bond trustee for debt payments.

**COMBINED STATEMENT OF BUDGETED  
REVENUES and EXPENDITURES  
BALLWIN TOWNE CENTER TRANSPORTATION DEVELOPMENT  
DISTRICT**

For the Fiscal Year Ending December 31, 2013

	2011 Actual	2012 Projected	2013 Budget
<b>Fund Balance, January 1</b>	-0-	-0-	-0-
<b>Revenues</b>			
TDD Taxes <sup>1</sup>	\$127,689	\$127,500	\$132,000
TDD Account Interest	<u>9</u>	<u>8</u>	<u>8</u>
<b>Total TDD Revenues</b>	<b>\$127,698</b>	<b>\$127,508</b>	<b>\$132,008</b>
<b>Expenditures</b>			
TDD Administrative Fees	\$ 6,412	\$ 7,500	\$ 7,500
TDD Audit Fees	<u>2,600</u>	<u>6,585</u>	<u>2,750</u>
<b>Total TDD Expenditures</b>	<b>\$ 9,012</b>	<b>\$ 14,085</b>	<b>\$ 10,250</b>
<b>Revenues over Expenditures</b>	<b>\$118,686</b>	<b>\$113,423</b>	<b>\$121,758</b>
<b>Other Financing Sources (Uses)</b>			
Transfers Out – EATs Fund <sup>2</sup>	(\$ 63,845)	(\$ 63,750)	(\$ 66,000)
Transfers Out – TDD Revenues Fund <sup>3</sup>	<u>(\$ 54,841)</u>	<u>(\$ 49,673)</u>	<u>(\$ 55,758)</u>
<b>Total Other Financing Sources</b>	<b>(\$118,686)</b>	<b>(\$113,423)</b>	<b>(\$121,758)</b>
<b>Fund Balance, December 31</b>	<b>-0-</b>	<b>-0-</b>	<b>-0-</b>

<sup>1</sup>Estimated transportation development district sales tax (“TDD Sales Tax”) in the amount of ¼ of one cent imposed on retail sales within the District.

<sup>2</sup>Top fifty percent (50%) of TDD Sales Tax receipts, as required by TIF Act, is deposited into the EATs Account of the Special Allocation Fund under Trust Indenture (“Indenture”) between City of Ballwin, Missouri and Commerce Bank, N.A., as trustee, and used to pay TIF Bonds (as defined in Indenture).

<sup>3</sup>Remaining TDD Sales Tax revenues appropriated and applied as provided in Intergovernmental Cooperation Agreement between District and City of Ballwin, Missouri. TDD Sales Tax revenues do not include (i) any amount paid under protest until the protest is withdrawn or resolved against the taxpayer and (ii) any sum received by the District which is the subject of a suit or other claim communicated to the District, which suit or claim challenges the collection of such sum.

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## City of Ballwin Sewer Lateral Fund

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The Sewer Lateral Fund was established in 1999, by voter approval, to enter into a contract with St. Louis County for the collection of a maximum per annum fee of \$28 on all residential property having six or less dwelling units to provide funds to pay the cost of certain repairs of defective lateral sewer service lines of those dwelling units.

Fees are assessed each year with property taxes and are distributed, minus a 1% collection fee, from St. Louis County to the City of Ballwin on a monthly basis. Residents sign up to receive a limit of \$3,000 from this fund and are awarded these funds as they become available.



**COMBINED STATEMENT OF BUDGETED  
REVENUES and EXPENDITURES  
SEWER LATERAL FUND**

For the Fiscal Year Ending December 31, 2013

	2011 Actual	2012 Projected	2013 Proposed
<b>Fund Balance, January 1</b>	<b>\$254,299</b>	<b>\$251,282</b>	<b>\$243,193</b>
<b>Revenues</b>			
Sewer Lateral Fees	\$289,671	\$294,511	\$294,000
Sewer Lateral Account Interest	<u>111</u>	<u>0</u>	<u>0</u>
<b>Total Sewer Lateral Revenues</b>	<b>\$289,782</b>	<b>\$294,511</b>	<b>\$294,000</b>
<b>Expenditures</b>			
Sewer Lateral Administrative Fees	\$ 32,368	\$ 33,385	\$ 33,385
Sewer Lateral Costs	<u>260,431</u>	<u>269,215</u>	<u>265,000</u>
<b>Total Sewer Lateral Expenditures</b>	<b>\$292,799</b>	<b>\$302,600</b>	<b>\$298,385</b>
<b>Revenues Over Expenditures</b>	<b>\$ (3,017)</b>	<b>\$ (8,089)</b>	<b>\$ (4,385)</b>
<b>Other Financing Sources (Uses)</b>	<b>-0-</b>	<b>-0-</b>	<b>-0-</b>
<b>Fund Balance, December 31</b>	<b>\$251,282</b>	<b>\$243,193</b>	<b>\$238,808</b>

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# City of Ballwin Federal Asset Seizure Fund

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The Department of Justice governs the equitable sharing of federally forfeited property due to drug enforcement seizures. Ballwin is a participant in the DOJ Equitable Sharing Program from which we receive transfers of our share of federal seizure funds through the St. Louis County Multi-Jurisdictional Drug Task Force.

Federal Asset Seizure funds may be used toward training for investigators, prosecutors, and law enforcement support personnel, as well as for equipment, firearms, detention facilities, and other qualified non-budgeted expenses.

**COMBINED STATEMENT OF BUDGETED  
REVENUES and EXPENDITURES  
FEDERAL ASSET SEIZURE FUND**

For the Fiscal Year Ending December 31, 2013

	2011 Actual	2012 Projected	2013 Proposed
<b>Fund Balance, January 1</b>	<b>\$131,088</b>	<b>\$143,092</b>	<b>\$126,841</b>
<b>Revenues</b>			
Fed Asset Seizure Sharing	\$ 45,348	\$ 21,503	\$ 31,000
Fed Asset Seizure Account Interest	<u>149</u>	<u>205</u>	<u>175</u>
<b>Total Revenues</b>	<b>\$ 45,497</b>	<b>\$ 21,708</b>	<b>\$ 31,175</b>
<b>Expenses</b>			
Fed Asset Seizure Expenses	<u>\$ 33,493</u>	<u>\$ 37,959</u>	<u>\$ 35,000</u>
<b>Total Expenditures</b>	<b>\$ 33,493</b>	<b>\$ 37,959</b>	<b>\$ 35,000</b>
<b>Revenues Over Expenditures</b>	<b>\$ 12,004</b>	<b>\$ (16,251)</b>	<b>\$ (3,825)</b>
<b>Other Financing Sources (Uses)</b>	<b>-0-</b>	<b>-0-</b>	<b>-0-</b>
<b>Fund Balance, December 31</b>	<b>\$143,092</b>	<b>\$126,841</b>	<b>\$123,016</b>

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## City of Ballwin P.O.S.T. Fund

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Missouri Revised Statutes Section 488.5336 allows the municipal court to assess a surcharge of one dollar for each criminal case involving violations of municipal ordinances, provided that the defendant has not been dismissed by the court or when costs are to be paid by the municipality. The collections of this assessment are submitted to the State treasury to the credit of the Peace Officer Standards and Training commission (P.O.S.T.). The State of Missouri then allocates Ballwin's funds annually.

P.O.S.T. funds may be used toward any training provided in Missouri Revised Statutes sections 590.100 to 590.180 and additional training for other law enforcement employees appointed by Ballwin. Meals and lodging, in conjunction with training that meets the continuing education requirements, may be charged to the fund. Equipment to be used in classroom training of certified peace officers, and contract services for training, may also be charged to this fund.

**COMBINED STATEMENT OF BUDGETED  
REVENUES and EXPENDITURES  
P.O.S.T. FUND**

For the Fiscal Year Ending December 31, 2013

	2011 Actual	2012 Projected	2013 Proposed
<b>Fund Balance, January 1</b>	<b>\$ 76,665</b>	<b>\$ 73,845</b>	<b>\$ 75,618</b>
<b>Revenues</b>			
P.O.S.T. Funds	\$ 6,255	\$ 6,541	\$ 6,400
P.O.S.T. Funds Account Interest	<u>78</u>	<u>97</u>	<u>95</u>
<b>Total Revenues</b>	<b>\$ 6,333</b>	<b>\$ 6,638</b>	<b>\$ 6,495</b>
<b>Expenditures</b>			
P.O.S.T. Fund Training	<u>\$ 9,153</u>	<u>\$ 4,865</u>	<u>\$ 8,500</u>
<b>Total Sewer Lateral Expenditures</b>	<b>\$ 9,153</b>	<b>\$ 4,865</b>	<b>\$ 8,500</b>
<b>Revenue Over Expenditures</b>	<b>\$ (2,820)</b>	<b>\$ 1,773</b>	<b>\$ (2,005)</b>
<b>Other Financing Sources (Uses)</b>	<b>-0-</b>	<b>-0-</b>	<b>-0-</b>
<b>Fund Balance, December 31</b>	<b>\$ 73,845</b>	<b>\$ 75,618</b>	<b>\$ 73,613</b>